

ISIC - LSPN

Kinetic Isotope Effect: Principles and its use in mechanism investigation

Group Seminar 05.03.2020

Alexandre Leclair

Important literature

Modern Physical Organic Chemistry by Eric. V. Anslyn and Dennis A. Dougherty - University Science Books: Sausalito, CA, **2006**

→ Chapter 8 – Experiments Related to Thermodynamics and Kinetics – 421-441.



Annual Reports on NMR Spectroscopy Volume 68, 2009, Pages 149-191

CHAPTER 3 - Application of NMR Spectroscopy in Isotope Effects Studies

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On the Interpretation of Deuterium Kinetic Isotope Effects in C–H Bond Functionalizations by Transition-Metal Complexes**

Annual Reports on NMR Spectroscopy, Chapter 3 – Application of NMR

spectroscopy in Isotope Effects Studies by Stefan Jankowski - (Ed.: G.A.

Modern Physical Organic Chemistry Eric V. Anslyn / Dennis A. Dougherty

Eric M. Simmons and John F. Hartwig*

Webb), Academic Press, 2009, 149–191.







Kinetic Isotope Effects in the Study of Organometallic Reaction Mechanisms

Mar Gómez-Gallego* and Miguel A. Sierra*

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E. M. Simmons, J. F. Hartwig, Angew. Chem. Int. Ed. 2012, 51, 3066-3072.
M. Gomez-Gallego, M. A. Sierra, Chem. Rev. 2011, 111, 4857-4963

- I. Origins of the Kinetic Isotope Effect
- II. Types of Kinetic Isotope Effect
- III. Classical experiments
- IV.KIE measured at natural-abundance
- V. Conclusion and Outlook

I. Origins of the Kinetic Isotope Effect

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Origins of the Kinetic Isotope Effect (KIE)

Origin of isotope effects:

- Difference in **frequencies** of various vibrational modes of a molecule
- ightarrow No important difference in the potential energy of the system
- \rightarrow However, difference in vibrational states, quantified by the formula:

 $e_n = (n + \frac{1}{2})hv$, where n= 0,1,2,...

• The vibrational modes for bond stretches \rightarrow dominated by n=0, with e₀=1/2hv

e₀ = zero-point energy (ZPE)



Origins of the Kinetic Isotope Effect (KIE)

Importance of the reduced mass and force constant:

 $e_n = (n + \frac{1}{2})hv$, where n= 0,1,2,...

Vibration frequency v :

$$v = \frac{1}{2\pi} \sqrt{\frac{k}{m_r}}$$
 Proportional to

 $\sqrt{\frac{1}{m_r}}$

With m_r: reduced mass and k: force constant





Scheme: A. J. Bennet, Curr. Opin. Struct. Biol. 2012, 16, 472-478

Origins of the Kinetic Isotope Effect (KIE)

Implications in KIE:

 $ZPE_{(C-H)} > ZPE_{(C-D)}$

Homolysis of C-D require more energy = slower

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Same principle with heavier atom (<sup>12/13</sup>C, <sup>16/18</sup>O, <sup>14/15</sup>N, ...)
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BUT mass difference smaller \rightarrow KIE smaller

Magnitude of the observed KIE:

 $\Delta ZPE^{\text{transition state}} < \Delta ZPE^{\text{reactants}} \rightarrow \Delta AE_{H/D}$ important

Impacted by different factors:

- ightarrow Vibrations of the bond undergoing reaction
- \rightarrow Geometry of the TS (linear vs non-linear)
- \rightarrow Degree of bond breaking/making
- \rightarrow Position of the TS (exothermic, endothermic, thermoneutral)

With AE: activation energy



 ZpE^{R} = reactants zero point energy difference ZpE^{TS} = Transition state zero point energy difference

Primary Kinetic Isotope Effects:

 \rightarrow Bond breaking event at the X-H/X-D bond



8

- If $k_{\rm H}/k_{\rm D} = 1$: C-H/D not functionalized during the rate-determining step (or very small KIE)
- Classical primary KIE: 1<< k_H/k_D ≤ 6.5-7* → The C-H bond functionalization is the ratedetermining step**
- Usually in the Transition State (TS): Bond partially broken or new bond start to form
 - \rightarrow Attenuation of KIE

*Maximum kH/kD ≤ 6.5-7 for a bond 100% broken during the transition state (at T=298 K, with IR (C-H stretch) = 3000 cm⁻¹)
**: In parallel experiments, see later in the presentation
T. G. Traylor, K. W. Will, W-P. Fann, S. Tsuchiya, B. E. Dunlap, J. Am. Chem. Soc. 1992, 114, 1308-1312

H/D

 $k_{\rm H}/k_{\rm D}$

Primary Kinetic Isotope Effects:

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\rightarrow Attenuation of KIE

Example: Mechanism of aliphatic hydroxylation by Fe(III) porphyrins (Traylor et al., 1992)





 \rightarrow Common reaction in biological systems catalyzed by Cytochrome P-450

*Maximum kH/kD \leq 6.5-7 for a bond 100% broken during the transition state (at T=298 K, with IR (C-H stretch) = 3000 cm⁻¹) **: In parallel experiments, see later in the presentation

T. G. Traylor, K. W. Will, W-P. Fann, S. Tsuchiya, B. E. Dunlap, J. Am. Chem. Soc. 1992, 114, 1308-1312

Primary Kinetic Isotope Effects:

Example: Mechanism of aliphatic hydroxylation by Fe(III) porphyrins (Traylor et al., 1992)

ightarrow Comparison of the reaction rate with H/D-substrates



Similar to KIE for the enzyme-catalyzed reaction: Good model

[Fe]=0

Secondary Kinetic Isotope Effects:

 \rightarrow X-H/X-D not functionalized, remote from the reacting bond



 \rightarrow Difference in force constant (k) for out-of-plane bend

<u>sp³ → sp²</u>: Secondary $KIE_{H/D} \approx 1.1$ -1.2

<u>sp² → sp³</u>: Inverse KIE_{H/D} \approx 0.8-0.9



Secondary Kinetic Isotope Effects:

 \rightarrow X-H/X-D not functionalized, remote from the reacting bond

Via Rehybridization:



 \rightarrow Difference in force constant (k) for out-of-plane bend

<u>sp³ → sp²</u>: Secondary $KIE_{H/D} \approx 1.1-1.2$

<u>sp² → sp³:</u> Inverse KIE_{H/D} \approx 0.8-0.9

Via electronic effect / hyperconjugation (in the rate-determining step):

 \rightarrow Example: S_N1 mechanism, β-secondary KIE (Shiner *et al.*, **1963**)



V. J. Shiner, J. S. Humphrey, J. Am. Chem. Soc. 1963, 85, 2416-2419



Out-of-plane bend

In-plane bend

Secondary Kinetic Isotope Effects:

Example: Investigation toward D-Amino acid oxidase mechanism (Fitzpatrick et al., 1997)

• Enzyme catalyzing oxidation of amino acids to imino acids using FAD as coenzyme.



- Difficulty to analyze the direct reaction with amino acid carbanion
 - ightarrow Nitroalkane anion used, stable at physiologic pH



Studies of the secondary kinetic isotope effect at the carbanion position

Secondary Kinetic Isotope Effects:

Example: Investigation toward D-Amino acid oxidase mechanism (Fitzpatrick et al., 1997)



Hypothesis:

In path A: Change in hybridization from sp² to sp³ \rightarrow Inverse isotope effect predicted In path B: No hybridization change \rightarrow Little or no isotope effect expected

<u>Conclusion</u>: $k_{\rm H}/k_{\rm D} = 0.84 \rightarrow$ Inverse secondary isotope effect: Support path A

Steric Isotope Effects:

- Effective size of H/D important
- → Vibrational amplitude of D smaller: D appears smaller than H

Example: Racemization of 9,10-dihydro-4,5-dimethylphenanthrenes (Mislow et al., 1963)





Equilibrium Isotope Effects (EIE):

 \rightarrow In case of reversible reaction, partial fractionation may occur via equilibrium:



Scheme: M. Gomez-Gallego, M. A. Sierra, *Chem. Rev.* **2011**, *111*, 4857-4963 M. Saunders, L. Telkowski, M. R. Kates, *J. Am. Chem. Soc.* **1977**, *99*, 8070-8071

Solvent Isotope Effects:

• Alcohols O-H, amines N-H, amides N-H, thiols S-H: readily exchange H/D in D₂O/MeOD

Scrambling equilibrium RX−H + S−D ← RX−D + S−H

ightarrow Fractionation factor, $\pmb{\phi}$

$$K_{eq} = \phi = \frac{[S-H][X-D]}{[S-D][X-H]}$$

- ightarrow Via solvation of the activated complex
- \rightarrow Via hydrogen transfer

Solvent Isotope Effects:

• Alcohols O-H, amines N-H, amides N-H, thiols S-H: readily exchange H/D in D₂O/MeOD

Scrambling equilibrium RX−H + S−D → RX−D + S−H

ightarrow Fractionation factor, ϕ

$$K_{eq} = \phi = \frac{[S-H][X-D]}{[S-D][X-H]}$$

- ightarrow Via solvation of the activated complex
- \rightarrow Via hydrogen transfer

Example: Hydrolysis of Cr-carbene in aqueous acetonitrile (Bernasconi et al., 1995)

$$(OC)_{5}Cr = \begin{pmatrix} OMe \\ CH_{3} \end{pmatrix} \xrightarrow{0.01-0.04 \text{ M KOH}} (OC)_{5}Cr - OH + \begin{pmatrix} O \\ H_{3}C \end{pmatrix} + MeOH \\ 1:1 CH_{3}CN/H(D)_{2}O \end{pmatrix}$$



→ Solvent isotope effects used for Proton inventories = Nb of H transferred or impacted in the RDS (often used in enzymatic mechanism investigation)

C. F. Bernasconi, F. X. Flores, W. Sun, *J. Am. Chem. Soc.* **1995**, *117*,4875-4880 More information on it in sub-chapter of Chapter 8 – Modern Physical Organic Chemistry

Tunneling effect:

Sometimes KIE_{H/D} > 50 observed

→ Attributed to **quantum mechanical tunneling**

Quantum mechanical phenomenon where the wave-function for the molecule **penetrates through the energetic barrier** rather than over it.



 \rightarrow Very common under cryogenic conditions (decrease classical energetic reaction pathways)

One of the simplest analyses of tunneling: **Bell's modification of Arrhenius equation**

Bell's modification of Arrhenius equation:



<u>From β term</u>: very sensitive to the mass of the tunneling particle $\rightarrow \neq$ between H and D



Huge impact on the kinetic

Lighter H atom can tunnel more readily than D \rightarrow KIE_{H/D} value **higher** than theorical maximum

ï Examples of tunneling effect: Se ß α Selenoxide elimination (Kwart et al., 1981) • Pł Se OX 2a = 0.82Å 40-85 °C 4 Selenoxide elimination X= H or D CYLIC ARRANGEMENT LEADING $[\Delta E_{a}]^{H/D} = 2.52 \text{ kcal.mol}^{-1} >> [\Delta E_{0}]^{H/D}$ TO TUNNELING H-TRANSFER 2a = 0.82 Å < normal C-H bond (1.1 Å) IN SELENOXIDE THERMOLYSIS

• Rearrangement of 2,4,6-tri-tert-butylphenyl radical (Ingold et al., 1976)



L. D. Kwart, A. G. Horgan, H. Kwart, J. Am. Chem. Soc. **1981**, 103, 1232-1234 G. Brunton, D. Griller, L. R. C. Barclay, K. U. Ingold, J. Am. Chem. Soc. **1976**, 98, 6803-6811

Three main experiments with deuterated substrates:



1. Parallel reactions







E. M. Simmons, J. F. Hartwig, Angew. Chem. Int. Ed. 2012, 51, 3066-3072.

Three main experiments with deuterated substrates:



1. Parallel reactions

- Relative rates measured
- Affected by catalyst decomposition and induction periods
- If strong primary KIE, C-H functionalization = rate-determining step (= RDS) (turnover-limiting step for catalytic reaction)
- No KIE observed if C-H functionalization happen after RDS Possible small KIE if before RDS (in case of equilibrium isotope effect)

Three main experiments with deuterated substrates:





- KIE measured from ratio P_H/P_D (i.e. 1:1 mixture cyclohexane:cyclohexane- d_{12} used)
- Alternatively from consumed SM ratio
- If no KIE, C-H bond cleavage not RDS
- If strong primary KIE, not necessary RDS ! (If RDS before and not involving the substrate)

<u>Example</u>: ligand dissociation, oxidative addition to another molecule, metal oxo formation, ...

 \rightarrow C-H functionalization **product-determining step**



Example: Palladium-catalyzed oxidative arylating carbocyclization of allenynes (Bäckvall et al., 2018)

• Intermolecular competition



Parallel reaction → induction period: required pre-stirring



Example: Palladium-catalyzed oxidative arylating carbocyclization of allenynes (Bäckvall et al., 2018)

KIE (competition experiment) = 8.7 ≈ KIE (parallel experiment) = 9.0

→ Strong KIE: Allenic C-H cleavage turnover-limiting step



X = anionic ligand (e.g. AcO⁻, HOC₆H₄O⁻, etc.)

Three main experiments with deuterated substrates:

3. Intramolecular competition



- KIE measured from ratio P_H/P_D
- Often Y = directing group
- If no KIE, C-H bond cleavage not RDS
- If strong primary KIE, not necessary RDS ! (If RDS before or equilibrium)

Example: Pd-catalyzed one-pot Suzuki-Miyaura coupling/C-H direct arylation (Hultin et al., 2010)

• Intramolecular competition: Strong KIE



• Intermolecular competition: no KIE



L. M. Geary, P. G. Hultin, *Eur. J. Org. Chem.* **2010**, 5563–5573 Scheme: E. M. Simmons, J. F. Hartwig, *Angew. Chem. Int. Ed.* **2012**, *51*, 3066-3072.

Example: Cu-catalyzed arylation of remote C(sp3)-H bonds in carboxamides and sulfonamides (Our group – **2018**)

• Intramolecular competition: Strong KIE_{H/D}

•



Only minimal contributions of the 1,5-HAT in the overall reaction rate

Deuterium kinetic isotope effects by natural-abundance deuterium NMR spectroscopy (Pascal et al., 1984)

²H natural abundance: 0.01-0.02%



Formula KIE_{H/D}:
$$k_{\rm H}/k_{\rm D} = (D_{\rm retained}/D_{\rm transferred})[1/(n-1)]$$

n: number of chemically equivalent sites in the reactant



<u>Control experiment</u>: Photolysis in presence of 1:1 cyclohexane:cyclohexane- d_{12}

 $k_{\rm H}/k_{\rm D}$ = 2.2 (determined by GC-MS) \rightarrow Agreement of the methods

Interpretation: Transfer of C-H/D bond -> During the rate-determining step

Limitations: Low resolution/lower sensitivity

- \rightarrow Require good amount of product and good separation of the peaks
- ightarrow In some cases, uncertainty on the exact value of KIE

High-precision determination of small ²H and ¹³C KIE at natural abundance (Singleton and Thomas, 1995)



<u>Principle</u>: Fractional enrichment of the isotopically slower-reacting starting materials during the reaction.



D. A. Singleton, A. A. Thomas, J. Am. Chem. Soc. 1995, 117, 9357-9358

<u>Principle</u>: Fractional enrichment of the isotopically slower-reacting starting materials during the reaction.



F: "fractional" conversion of reactant

R/R₀: proportion of minor isotopic component in recovered starting material compared to the original starting material

In practice: The reaction is stopped before the end, at a conversion (F) > 70% (here, 98.9%).

$KIE_{calcd} = ln(1-F)/ln[(1-F)R/R_0]$

Uncertainties in calculated small KIE determined by NMR integrations (relatively low precision) dominated by Δ KIE_R:

$$\Delta \mathsf{KIE}_{\mathsf{R}} = \frac{\delta \mathsf{KIE}}{\delta(\mathsf{R}/\mathsf{R}_0)} \,\Delta(\mathsf{R}/\mathsf{R}_0) = \frac{-\ln(\mathbf{1}-\mathbf{F})}{(\mathsf{R}/\mathsf{R}_0)\ln^2[(\mathbf{1}-\mathbf{F})\mathsf{R}/\mathsf{R}_0]} \,\Delta(\mathsf{R}/\mathsf{R}_0)$$

\rightarrow More F increases, smaller becomes ΔKIE_R

<u>Consequence</u>: Higher is the conversion, higher is the precision for small KIE determinations

NB: Possible to analyze the product when F < 20%.

(Other parameter to take in account in cases of large KIE or if (R/R_0) can be very precisely determined: ΔKIE_F)

Classical $KIE_{12C/13C}$ values: 0.99 < KIE < 1.04



- \rightarrow CH₃ used as internal reference.
- → KIE for C2, C3, H3: no/very small KIE (as expected for non-reacting atoms).
- → Important **KIE for C1 and C4** (Slower reaction with C1 or C4 = 13 C).
- → Important inverse KIE for H1 and H4 (faster reaction with H1 and H4 = D).

Interpretation:

KIE at C1 and C4 consistent with the concerted mechanism proposed

Inverse KIE for H1 and H4 results from the $sp^2 \rightarrow sp^3$ rehybridization in the TS

Difference in KIE for H1s and H4s suggests some **asynchronicity** in bond formation

<u>Example</u>: Mechanism of epoxidation of cyclohexenone with *tert*-butyl hydroperoxide (Singleton *et al.*, **2007**)

 \rightarrow Investigations to determine rate-determining step



 \rightarrow Natural-abundance $KIE_{12C/13C}$ determined



Predicted KIEs						
1.004	1.008	1.027	0.997	0.999		
1.004	1.007	1.031	1.001	1.001		
1.006	1.012	0.997	0.996	0.999		
1.005	1.012	1.000	0.999	1.001		
	Predicted 1.004 1.004 1.006 1.005	Predicted KIEs 1.004 1.008 1.004 1.007 1.006 1.012 1.005 1.012	Predicted KIEs 1.004 1.008 1.027 1.004 1.007 1.031 1.006 1.012 0.997 1.005 1.012 1.000	Predicted KIEs 1.004 1.008 1.027 0.997 1.004 1.007 1.031 1.001 1.006 1.012 0.997 0.996 1.005 1.012 1.000 0.999		

Strong primary $\text{KIE}_{12C/13C}$ at C3 and moderate one at C2 \rightarrow Match with predicted KIEs

1,4-addition of tBuOO⁻ rate-limiting step

Advantages:

- ightarrow No synthesis of deuterated substrate necessary
- → Measurements of detailed small KIE can be quickly obtained.
- \rightarrow Low influence of impurities in the analyzed compounds (if not overlapping in NMR)
- \rightarrow Access to important information on reacting atoms.

Limitations:

→ Require the reaction to be scalable enough to recover remaining starting materials (few %) and that it could be isolated from a large amount of products.

In Singleton and Thomas' case, they distilled off the remaining isoprene from the reaction mixture (at 98,9% of conversion, starting from 1.0 mol of isoprene).

- \rightarrow The reaction should be **irreversible**.
- \rightarrow The reaction mechanism must not change during the course of the reaction

Use of polarization transfer NMR for ¹³C KIE measurements at natural abundance (Jacobsen *et al.*, **2017**)

Principle: DEPT (distortionless enhancement by polarization transfer)

→ Transfer the larger gyromagnetic ratio of ¹H to ¹³C to increase the sensitivity (x3) or reduce the time of experiment acquisition (x9)



Y. Park, K. C. Harper, N. Khul, E. E. Kwan, R. Y. Liu, E. N. Jacobsen, *Science* **2017**, *355*, 162-166 E. E. Kwan, Y. Park, H. A. Besser, T. L. Anderson, E. N. Jacobsen, *J. Am. Chem. Soc.* **2017**, *139*, 43-46



MeO

MeC

1.000

 \rightarrow Indicating significant oxocarbenium character in the TS



Y. Park, K. C. Harper, N. Khul, E. E. Kwan, R. Y. Liu, E. N. Jacobsen, Science 2017, 355, 162-166 E. E. Kwan, Y. Park, H. A. Besser, T. L. Anderson, E. N. Jacobsen, J. Am. Chem. Soc. 2017, 139, 43-46

Conclusion:

- \rightarrow Significant oxocarbenium character in the transition state
- → Suggest asynchronous reaction mechanism with a large degree of charge separation

Could not conclude on the exact mechanism

ightarrow Cooperative mechanism via dual activation of Nu and E



- \rightarrow Only ¹³C-H accessible (DEPT)
- → Increase in sensitivity (Lower amount required or shorter acquisition time).

Y. Park, K. C. Harper, N. Khul, E. E. Kwan, R. Y. Liu, E. N. Jacobsen, *Science* **2017**, *355*, 162-166 E. E. Kwan, Y. Park, H. A. Besser, T. L. Anderson, E. N. Jacobsen, *J. Am. Chem. Soc.* **2017**, *139*, 43-46

Conclusion and outlook

• Very powerful information:

- \rightarrow On the rate-determining step (primary KIE)
- \rightarrow On the mechanism (comparison of mechanisms, solvent effect, ...)
- Particularly used for C-H functionalization (Large values for primary KIE)
- Routinely used nowadays
- \rightarrow 8195 references on Scifinder containing the words "kinetic isotope effect" in the abstract

However, careful choice of the experiments necessary \rightarrow easy to draw wrong conclusions

• Most of the time \rightarrow Required synthesis of deuterated substrate

Alternatively, **KIE measured at natural-abundance**

→ Often require scalable reaction: low sensitivity or long acquisition time experiments



Development of more sensitive methods

Conclusion and outlook

• Development of more sensitive methods

Example: Use of MQF NMR for Concerted S_NAr (Jacobsen et al., 2018)

Use of Multiple-quantum filtered spectra (MQF) to obtain KIE_{12C/13C}

MQF = 1^{9} F NMR {1 H decoupled} NMR where parent 12 C- 19 F peak removed

 \rightarrow Only ¹³C-¹⁹F satellite integrals remaining: accurate integration

→ Hugh gain in sensitivity: 13.6 times (per F) greater than ¹³C NMR signal





Table 1 Comparison of KIE measurements					
F ₃ CO ^{Br}		Bu ₄ NF	F ₃ CO F		
	6		7		
	Method	Time (h)	KIE (s.e.)		
1	Singleton (300 mg)	^a 9.9	1.058(6), 1.060(6)		
2	MQF (50 mg)⁵	4.6	1.057(5), 1.065(6)		
3	MQF (50 mg)	5.2	1.057(3), 1.062(4)		
4	MQF (50 mg) ^c	5.2	1.059(4), 1.060(4)		
5	MQF (10 mg)	9.9	1.061(6)		
6	MQF (50 mg) ^d	0.7	1.055(10)		
	Co	nsensus (s.d.):	1.059(3)		
-					

Samples are pure unless otherwise noted. Acquisition times are given for each pair of partial and full conversion samples. Pairs of KIEs refer to independent chemical replicates. Error bars (in parentheses) refer to standard errors (s.e.) of the mean (t distribution) and reflect technical variation due to errors in the measurement of conversion and satellite area. KIEs are referenced to $^{10}C^{-10}$ unless otherwise noted. s.d., standard deviation. WIEs are referenced to $^{10}C^{-10}$. WIEs are referenced to $^{10}C^{-10}$. "One unified sample." Shitemi tube used.

Thank you for your attention