

# Automatic Application of Power Analysis Countermeasures

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**Abstract**—We introduce a compiler that automatically inserts software countermeasures to protect cryptographic algorithms against power-based side-channel attacks. The compiler first estimates which instruction instances leak the most information through side-channels. This information is obtained either by dynamic analysis, evaluating an information theoretic metric over the power traces acquired during the execution of the input program, or by static analysis. As information leakage implies a loss of security, the compiler then identifies (groups of) instruction instances to protect with a software countermeasure such as *random precharging* or *Boolean masking*. As software protection incurs significant overhead in terms of cryptosystem runtime and memory usage, the compiler protects the minimum number of instruction instances to achieve a desired level of security. The compiler is evaluated on two block ciphers, *AES* and *Clelia*; our experiments demonstrate that the compiler can automatically identify and protect the most important instruction instances. To date, these software countermeasures have been inserted manually by security experts, who are not necessarily the main cryptosystem developers. Our compiler offers significant productivity gains for cryptosystem developers who wish to protect their implementations from side-channel attacks.

**Index Terms**—Side-channel attacks, power analysis attacks, software countermeasures, compiler

## 1 INTRODUCTION

SECURITY is a fundamentally important issue in many of today's computing platforms and applications. On average, a typical consumer used 230 embedded computing devices per day in 2008 [34], more often than not, without actually realizing it; this number is expected to exceed 1000 devices per day in the near-future [43]. Many of these devices store private data that the user does not wish to divulge, and could be exploited for malicious purposes by attackers.

Hardware and software systems must be designed with security as a high priority in order to prevent attackers from accessing confidential information. At present, hardware and software design automation tools do not treat security as a first-class design objective, despite its critical importance. In particular, *side-channel attacks* are a fundamental area of concern. Side-channel attacks target the physical implementation of a cryptosystem, rather than the underlying mathematical structure of the cryptosystem itself. Examples of publicly-known side-channels include power consumption [20], electromagnetic radiation [13], sound emission [32] and timing

[19], among others. To perform these attacks, an adversary gains access to the device, and encrypts a statistically significant number of plaintexts, without knowledge of the value of the secret key stored within the device. The attacker measures the side-channel information as the program executes, and uses statistical methods to associate it with a subset of the bits of the key. Provided sufficient time and plaintext, an attacker can uncover the value of each and every bit of the key using this approach.

Many countermeasures have been proposed to protect against side-channel attacks; typically, a countermeasure is proposed for a specific attack (e.g., power analysis), and it seems unlikely that a universal countermeasure will be found for silicon devices. To date, these countermeasures are inserted manually by experts in side-channel protection; often, these experts are not those who implemented the cryptosystem that is being protected.

To address these concerns, we introduce a compiler that automatically applies known software countermeasures to cryptographic software to protect against side-channel attacks. The user selects which countermeasure to apply and the compiler inserts it automatically. The application of these countermeasures can significantly impact performance and code size; as a consequence, it is impractical to protect every instruction instance (shortly *instruction* hereafter) in a cryptographic implementation. Therefore, the objective of the compiler is to automatically identify a subset of the implementation for protection, while meeting a user-specified level of security.

The compilation flow for side-channel protection is a fully-automated three-step process, as shown in Fig. 1:

- 1) *Information Leakage Analysis* identifies the instructions that are *sensitive* to side-channel attacks. The compiler can analyze the traces of real side-channel measurements (power traces, in our case) if the user provides traces; alternatively, it can perform *static analysis*.

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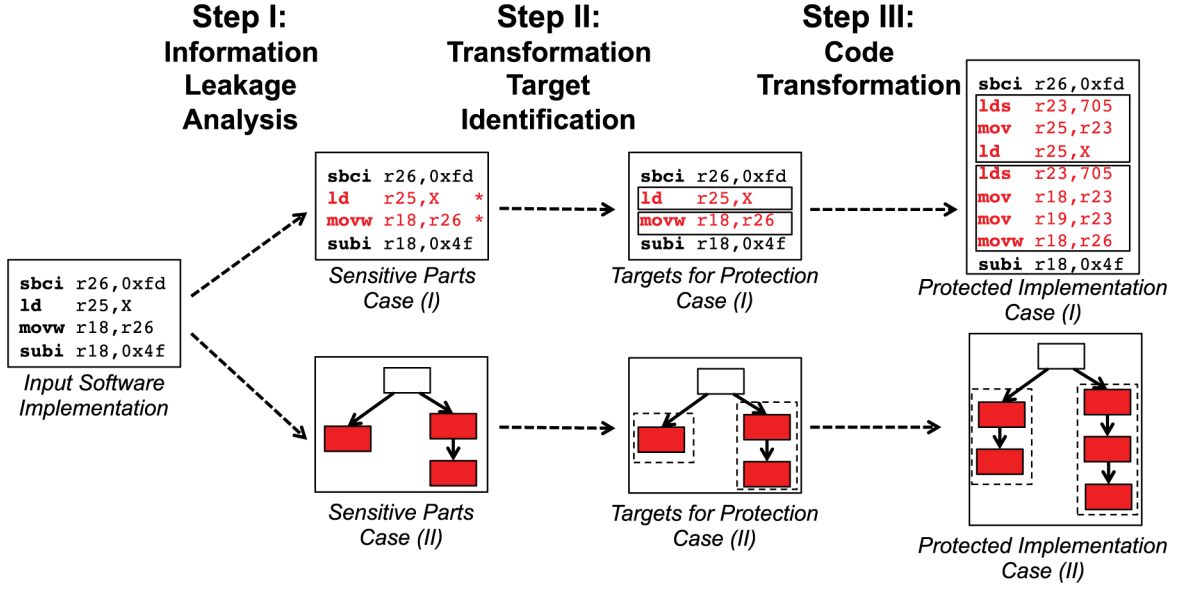


Fig. 1. The three stages of the compiler. First, the compiler identifies the sensitive instructions that leak the most information. Second, the compiler identifies groups of instructions that must be protected to suppress information leakage. Lastly, the countermeasure is applied to the instructions that have been identified, and the transformed program is generated. Steps taken by the compiler depend on which countermeasure is to be applied; they can be performed locally for each sensitive instruction as in *Case I* (e.g., random precharging) or globally for dependent groups of instructions as in *Case II* (e.g., masking).

- 2) *Transformation Target Identification* looks at data dependencies involving the sensitive instructions to determine larger groups of instructions that require protection to ensure security.
- 3) *Code Transformation* applies the protection mechanism on instructions identified by the preceding step.

We evaluate the compiler on two algorithms, *AES* and *Clelia*, using two software countermeasures: *random precharging* and *Boolean masking* for protection. *Random precharging* is selected as an example of countermeasures which need local analysis and code transformations (*case I*) in Fig. 1; whereas *Boolean masking* is a more advanced countermeasure requiring global treatment (*case II*) in Fig. 1). Our results demonstrate that the compiler can automatically detect and protect all instructions that leak critical information; the resulting program ensures the same level of protection with modest run time and code-size overheads, compared to manually protected counterparts.

Although the overall flow is based on the previous work of Bayrak et al. [4], the application of *global countermeasures* (*case II*) in Fig. 1), which was left as an open problem, is solved in this paper; *Boolean masking* is selected as a case study. The experimental analysis is enriched with the new countermeasure and additional benchmark ciphers to protect.

The rest of the paper is organized as follows. Section 2 discusses the related work. Sections 3–5 respectively describe the three key steps of our compiler: *Information Leakage Analysis*, *Transformation Target Identification*, and *Code Transformation*. Section 6 describes our experimental procedure and presents our results applying *random precharging* and *Boolean masking* to the *AES* and *Clelia* block ciphers. The paper is concluded in Section 7.

## 2 BACKGROUND INFORMATION

Historically, attacks on cryptosystems have attempted to exploit the weaknesses of cryptographic algorithms in terms

of their mathematical structure. Side-channel attacks take a different approach: instead of attempting to associate binary inputs with binary outputs, side-channel attackers measure physical quantities, such as power consumption, and exploit relations between this information and the inputs to uncover the secret key. These attacks require no specific knowledge about the inner workings of the device under attack, nor the underlying implementation of the cryptographic cipher. The attacker only needs to know which algorithm is being executed on the device under attack, which is usually publicly known. The compiler developed here focuses on power-based side-channel attacks; however, similar approach could easily be applied to other attacks, particularly EM- and acoustic-based ones, as well.

### 2.1 Power Analysis Attacks and Countermeasures

Power analysis attacks [20] rely on the fact that the instantaneous power consumption of most modern CMOS devices is strongly correlated with the data that is being processed at the same instant. The attacker provides different inputs (plaintexts) to the cryptosystem running on the device, and measures the real-time power consumption of each input. After the measurements are completed, the attacker statistically analyzes the relationship between the inputs and collected power traces, which depend on both the plaintext and secret key. Different methods are proposed in the literature to analyze this relationship [7], [8], [14], [20], e.g., *CPA* (correlation-based power analysis attack) [7] uses Pearson's correlation coefficient. The statistical analysis allows the attacker to obtain some or all bits of the secret key.

Short after the power analysis attacks shown to be efficient and effective, many countermeasures have been introduced to protect against them. Software countermeasures include random insertion of dummy instructions, shuffling [18], [22], [38], random precharging [37], and Boolean and arithmetic masking [1], [6], [12], [28].

Historically, it has been the responsibility of hardware designers or software engineers to determine the weaknesses

of a given cryptosystem and to figure out how to best apply a given countermeasure. These tasks are challenging, and requires a detailed understanding of the cryptographic algorithm at the core of the cryptosystem, the hardware platform on which the system runs, and the countermeasure mechanism. The primary objective of this work is to automate this process, so that a compiler, rather than an expert user, can apply these countermeasures; it is important to note that we *do not* propose any new countermeasures in this paper, nor do we attempt to compare and quantify the quality of one countermeasure versus another. The compiler introduced here will increase user productivity, and reduce potential human error that occurs during the introduction of countermeasures.

## 2.2 Automation in Side-Channel Related Works

Most of the automation introduced for side-channel analysis and protection focus on hardware countermeasures, rather than software countermeasures. For example, Tiwari et al. [41] introduced a method for gate-level information-flow tracking, by composing complex logical structures which propagates the trustworthiness of each bit along with the value of it. Others, such as Tiri et al. [40], Guilley et al. [15], and Regazzoni et al. [31] proposed methodologies to automate the application or analysis of some hardware countermeasures.

A handful of projects have looked at power analysis attacks from perspectives other than hardware design, including the Computer Aided Cryptography Engineering (CACE) [11] project. Barbosa et al. [3] have analyzed the effects of a compiler on elliptic curve cryptography. Bayrak et al. [4] proposed a framework to automate the application of power analysis countermeasures, which forms the basis of this work. Cleemput et al. [10] proposed compiler techniques to defend against timing attacks on x86 processors.

During the review process of this paper, Moss et al. [26] proposed a method to automatically apply Boolean masking. One of the main problems left open in the paper is that their method does not have control over the order of instructions in the output, leading to potentially vulnerable codes in most of today's devices; because, the effect of masking is invalidated if two consecutive instructions use the same mask. This problem does not exist in our compiler; because, our compiler performs the transformations on assembly language programs and carefully avoids vulnerable orderings. Secondly, Moss et al. impose formatting restrictions on the high-level source code that is input to their compiler. In contrast, our compiler takes assembly language programs as input, thereby making it easier to integrate into existing compilers.

## 3 INFORMATION LEAKAGE ANALYSIS

*Information Leakage Analysis* is the first step of our automatic protection framework. It determines which instructions leak information that is useful for a specific side-channel attack. The input to this step is an assembly language implementation of a cryptographic algorithm that requires protection, and the output is a set of annotations that indicate the *sensitivity* of each instruction.

The reason that we choose to operate on assembly instructions, rather than a higher level representation, is due to the fact that the countermeasures that we apply do not alter the output behavior of the program; a strong optimizing

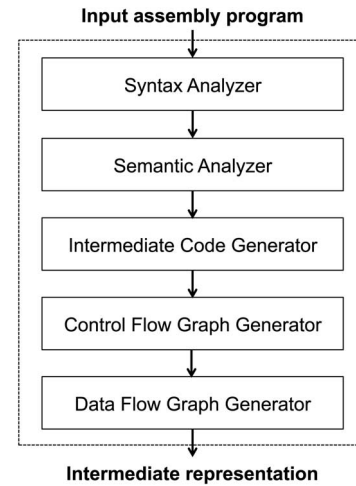


Fig. 2. Phases of the *decompiler* to produce an intermediate representation from a given assembly program [9].

compiler, which is unaware of this behavior, could recognize these countermeasures as redundant code, and eliminate them in an effort to improve performance and reduce code size. For example, if we apply the countermeasure at a higher-level, extra instructions added as an outcome of *random precharging* countermeasure to randomize the values in the data path could be removed in later stages because they have no impact on the output of the program.

Most cryptographic algorithms exhibit deterministic control flow; a common case is loops with constant iteration counts. For example, the *Advanced Encryption Standard (AES)* performs a fixed number of rounds (e.g., 10 for AES-128), each of which performs the same deterministic set of steps. In this work, we assumed a deterministic control and data flow in the input software.

Information leakage analysis can be performed statically or dynamically. Static analysis decompiles the assembly code into a traditional compiler intermediate representation and uses program slicing techniques [39] to identify instructions that operate on critical data (e.g., the key), and their dependencies. Static analysis requires no manual intervention from the user, however, it does not allow for platform-specific considerations, and, as a result, could be overly protective. Dynamic analysis, in contrast, exploits power traces provided by the user. Dynamic analysis exploits highly accurate device-specific leakage information, but requires the user to acquire power traces off-line. Either of these methods can provide an estimate of the *sensitivity* of each instruction in the program, and the user selects which option to use.

### 3.1 Static Analysis

Static analysis automatically analyzes a given cryptographic software implementation (in assembly language) and reports the *sensitivity* of each instruction; it can be enabled by `--static-analysis` command flag.

In the first step, the compiler automatically *decompiles* the input into a *Control Flow Graph (CFG)*, with a *Data Flow Graph (DFG)* to represent dependencies. The decompilation method is based on the Ph.D. thesis of Cifuentes [9], which is shown in Fig. 2. Unlike Cifuentes, the decompilation method produces an intermediate representation comprised of CFG and DFG,

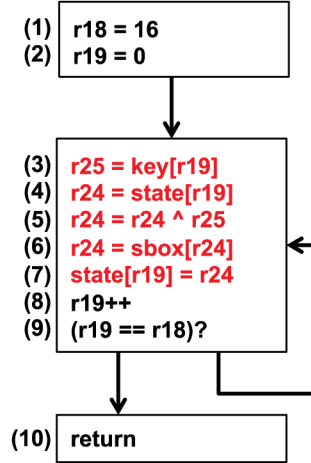
```

;In AVR asm, Z is a pointer to the value
;stored in address r31:r30, Y to r29:r28
    ldi r18,16      ; r18 = 16
    ldi r19,0       ; r19 = 0

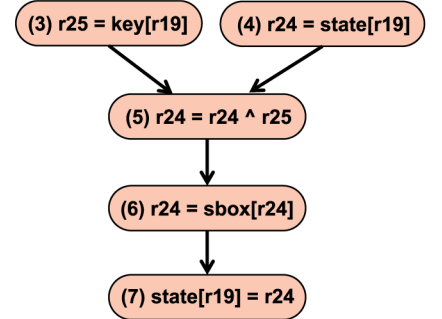
loop:
; r25 = key[r19]
    mov r30,r19
    ldi r31,0
    subi r30,low(-key)
    sbci r31,high(-key)
    ld r25,Z
; r24 = state[r19]
    mov r30,r19
    ldi r31,0
    subi r30,low(-state)
    sbci r31,high(-state)
    ld r24,Z
; r24 = r24 xor r25
    eor r24,r25
; r24 = sbox[r24]
    mov r28,r24
    ldi r29,0
    subi r28,low(-sbox)
    sbci r29,high(-sbox)
    ld r24,Y
; state[r19] = r24
    st Z,r24
; r19++
    subi r19,-1
; if !(r19 == r18) goto loop
    cp r19,r18
    brne loop
    ret

```

(a)



(b)



(c)

Fig. 3. Static analysis applied to the first two operations of AES. (a) A sample 8-bit AVR assembly code. The first two operations of the AES algorithm, AddRoundKey (xor between key and state) and SubBytes (a non-linear bijective operation generally implemented as lookup table), are shown. (b) The corresponding CFG for the first two AES operations; a sensitive idiom is shown in red (lines 3 to 7). (c) DFG representation of the *sensitive* operations that are dependent on critical data (state and key), which were shown in red in subfigure (b).

rather than a high-level language representation of the application. This makes the high level code optimizations and Cifuentes' code generation phase unnecessary. The decompiler is implemented in C++ using *Lex* and *Yacc* [21].

An *idiom* is a group of instructions that defines a single operation. For example, an 8-bit processor requires multiple instructions to perform a 16-bit operation, which would be grouped as an idiom (see Section 4.2 of Cifuentes' thesis [9] for details); the use of idioms simplifies the analysis steps. The compiler constructs basic blocks so that each of them contains a single idiom. The CFG preserves the control structure of the application, and the DFG facilitates propagation of relevant information, such as sensitivity and protection requirements, through the idioms.

Next, the compiler analyzes the DFG to determine which idioms are sensitive and require protection. Since we assumed a deterministic control/data flow, a simple dependence analysis suffices in lieu of more complicated static program analysis algorithms. Let  $G$  represent the DFG, and let  $v$  denote a node of  $G$ . If  $v$  accesses critical data, then  $v$  is marked sensitive; moreover, any descendent of  $v$  in the DFG is also marked as sensitive. A straightforward breadth-first search through the DFG is initiated from each node that accesses critical data. The user provides the names of critical variables as a command-line parameter to the compiler: `--critical = name1, ..., nameN` (e.g., `--critical = Key`); if the user does not supply the names of specific critical variables, then the compiler conservatively assumes that all variables in the program are critical.

As a motivating example, consider a load operation that copies a byte of the secret key from memory to a register; this

load operation directly accesses critical data and is sensitive as a result. A subsequent instruction that *xors* the loaded byte with partially encrypted plaintext (called the *state*), is marked sensitive as well. For example, in AES, all instructions that access or modify the state are annotated as being sensitive, whereas, loop iterations and most address calculations are insensitive.

Fig. 3 provides a detailed example of how the static analysis proceeds. First, the compiler identifies the idioms and constructs the CFG and DFG; afterwards, the DFG is analyzed to determine the sensitive operations.

### 3.2 Dynamic Analysis

Dynamic analysis uses empirical measurements to determine the sensitivity of each instruction. The user provides an assembly language implementation of the cryptographic algorithm and power traces acquired by executing different (*plaintext, key*) pairs on the target platform. The user specifies a command line parameter `--dynamic-analysis tracesFile` to instruct the compiler to perform dynamic analysis using the power traces in the file named *tracesFile*. The compiler produces a set of annotations that indicate the *sensitivity* of each instruction.

Before running the compiler, power traces can be obtained via measurement with an oscilloscope, as explained in Section 6.1, or simulation using electronic design automation tools. The measurements are suggested to be taken at a high frequency (e.g., 4 GHz in our setup), and then compressed to obtain a single power measurement for each clock cycle. High frequency sampling is to get better accuracy, whereas



compression is to obtain a single sensitivity value for each instruction and for efficiency of the analysis. We used *maximum extraction* [23] to compress the power traces in our experiments, however, other methods such as *integration* [23] or *principal component analysis* [2] could also be used.

The compiler automatically performs the following steps for dynamic analysis:

- i) The power traces are analyzed, and the sensitivity of each clock cycle is determined using an information theoretic metric, which estimates the amount of information that the system leaks. Clock cycles whose sensitivity exceeds a user-provided threshold are marked as sensitive. The user provides the threshold value to the compiler using the command line parameter `--threshold=val`, where `val` is a value between 0 (full protection) and 1 (no protection).
- ii) The compiler associates each clock cycle from the traces with an assembly instruction. The most sensitive clock cycle associated with each instruction defines the sensitivity of that instruction.

The compiler can be used to protect the minimum number of operations that can provide security equal to a fully-protected implementation of the algorithm; thus, security is not sacrificed, but performance is improved and code size is reduced. The compiler is run once to obtain a fully protected implementation of the algorithm, and its sensitivity is determined; sensitivity of the fully-protected implementation determines the minimum sensitivity (maximum protection) achievable from the given implementation using the given countermeasure. It is important to note that the sensitivity of the entire system is dictated by its weakest element, namely the most sensitive clock cycle. The compiler is then run a second time with the sensitivity of the fully-protected implementation provided as a threshold; the compiler determines a subset of operations to protect, thereby reducing performance overhead and code size, yet not effecting the protection.

Fig. 4 provides an example: a sensitivity value is obtained for each cycle, and instructions whose sensitivity exceeds the threshold (0.4 in this example) are marked as sensitive.

### 3.2.1 Metric for Sensitivity Evaluation:

Our metric for sensitivity evaluation is based on an information theoretic metric originally proposed by Standaert et al. [35], which evaluates the resistance of a cryptographic implementation against the strongest possible power analysis attack. The metric establishes a relationship—i.e., mutual information—between the secret key that is used for encryption and the power traces. We limit the number of dimensions considered by the metric to 1, which makes it possible to simplify the formula. Since we are interested in observing the effects of single instructions, a 1-dimensional application of the metric is appropriate; higher dimensionality would be required in order to analyze higher-order effects.

Let  $K$ ,  $X$ , and  $L$  respectively be random variables representing the secret key, plaintext, and information leakage from the physical device which is obtained via power trace analysis; and  $k$ ,  $x$  and  $l$  be realizations of  $K$ ,  $X$ , and  $L$  from an execution of the algorithm. Leakage  $L$  is normally distributed

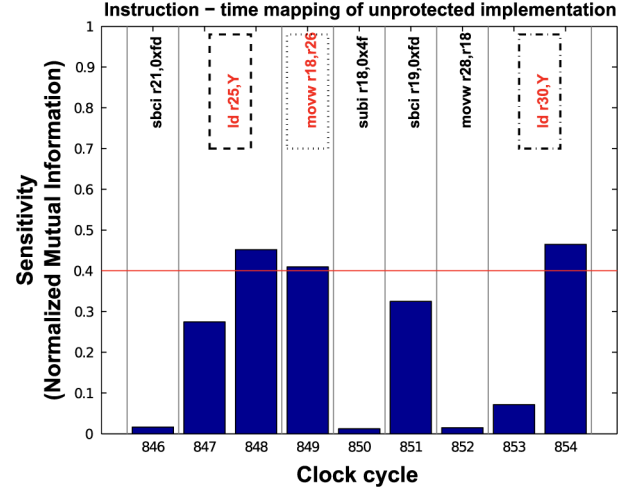


Fig. 4. A sensitivity value, which estimates information leakage, is determined for each clock cycle, and is then associated with the assembly instruction corresponding to that clock cycle. Countermeasures will be applied to sensitive instructions (or their idioms) in the subsequent stages of compilation.

with mean  $\mu$  and standard deviation  $\sigma$ —i.e.,  $\mathcal{N}(\mu_{k,x}, \sigma^2)$ . The probability density function of  $L$  is

$$N_l(\mu_{k,x}, \sigma^2) = \frac{1}{\sqrt{2\pi\sigma^2}} \cdot e^{-\frac{(l-\mu_{k,x})^2}{2\sigma^2}}, \quad (1)$$

where  $\mu_{k,x}$  represents the noiseless leakage value when  $(k, x)$  pair is executed and  $\sigma$  represents the constant noise standard deviation caused by the measurement. The conditional entropy of  $K$  given  $L$  is

$$H[K|L] = - \sum_k p(k) \cdot \sum_x p(x) \cdot \int p(l|k, x) \cdot \log_2 p(k|l, x) dl, \quad (2)$$

which can be rewritten as

$$H[K|L] = - \sum_k \left\{ p(k) \cdot \sum_x \{ p(x) \cdot \int_{-\infty}^{\infty} \left\{ N_l(\mu_{k,x}, \sigma^2) \cdot \log_2 \frac{N_l(\mu_{k,x}, \sigma^2)}{\sum_{k^*} N_l(\mu_{k^*,x}, \sigma^2)} \right\} dl \} \right\}. \quad (3)$$

The mutual information, which quantifies the sensitivity, is  $I[K; L] = H[K] - H[K|L]$ . Normalizing the mutual information,  $(H[K] - H[K|L])/H[K]$ , makes the value independent from the number of  $(X, K)$  pairs used. In the rest of the text, when we use the term mutual information, we mean normalized mutual information.

If the length of the plaintext and key are short, then it is possible to exhaustively enumerate all possible  $(X, K)$  pairs to compute the metric exactly; however, this is not generally the case: for example, AES-128 has 128-bit keys and plaintexts, which would require  $2^{256}$  executions. To reduce the number of traces, we can exploit some properties of large deviation theory [42]: the result obtained from a randomly chosen subset of keys and plaintexts will be close to the result obtained from exhaustive enumeration with high probability, as long as the cardinality of the subset is sufficiently large. Our experiments demonstrate that the result converges for AES-128 when we consider 16 plaintexts in conjunction with 16

keys. We tried with different numbers of pairs and we observed that the instructions that can be classified as sensitive do not change after  $8 \times 8$  pairs; so we used  $16 \times 16$  pairs to ensure the fidelity of the results.

## 4 TRANSFORMATION TARGET IDENTIFICATION

Once sensitive instructions have been identified, the compiler automatically selects which instructions to protect. For relatively simple countermeasures, such as *random precharging* and *random delay insertion*, a *peephole optimization* suffices, meaning that each sensitive instruction can be protected atomically, independent from other instructions in the program. In this case, *transformation targets* are the instructions that are determined as *sensitive* in the previous step.

Other countermeasures, such as *masking* and *instruction shuffling* protect idioms that depend on critical data; the transformations that are applied to each idiom depend on its data and control dependencies. For example, when applying the masking countermeasure, masks are propagated between dependent sensitive idioms (i.e., the output mask of an idiom is used as an input mask of another idiom). The compiler uses a simplistic *program slicing* [39] technique to group the sensitive idioms that have dependencies. A *forward slice* contains all idioms in a program that may be affected by a given set of variables at some point in the program. For example, we can construct a slice that includes all idioms that directly or indirectly use the value of a byte of the key (the idioms that directly access this byte initiate slices). As a result, *transformation targets* of countermeasures that use dependencies of idioms are forward slices constructed for all of the critical data in the program.

## 5 CODE TRANSFORMATION

Lastly, the compiler applies the appropriate code transformation to the transformation targets identified by the previous step; the protected assembly language program is returned as output. The user specifies the protection mechanism using a command-line parameter `--method = countermeasure`, where *countermeasure* is either *randomPrecharging* (Section 5.1) or *masking* (Section 5.2) in the current version of the compiler.

### 5.1 Local Code Transformations

Some countermeasures can be applied locally to each sensitive instruction using a peephole optimization. *Randomprecharging* [37] is an example: the data path is randomly charged before and after a critical instruction using randomly generated operands. This approach is effective on devices that have high dynamic power consumption proportional to the Hamming distance between two consecutive cycles' data flowing through a wire, gate, or functional unit; most modern embedded devices exhibit this behavior, since switching activity determines dynamic power consumption. The key idea is to randomize the bits on the critical components, such as a register or data bus; this randomizes the power consumption, since the Hamming distance between a uniformly distributed random variable and a fixed value is also uniformly random.

As an example, consider an instruction that stores the value of a variable  $x_c$  to a memory location, overwriting the value of

a variable  $x_p$ , which was stored there initially. The dynamic power consumption of this process is proportional to  $HD(x_c, x_p) = HW(x_c \oplus x_p)$ . If both  $x_c$  and  $x_p$  have deterministic behaviors, then an attacker can exploit the dependency between the power consumption and these variables. To overcome this issue, we can store the value of a uniformly distributed random variable  $r$  before storing  $x_c$ ; in this case, both  $x_p \oplus r$  and  $r \oplus x_c$ , which determines the dynamic power consumption of two store operations (of  $r$  and  $x_c$ ), will be uniformly random. The same argument can be applied to loading a sensitive data value into a register as well.

The protection offered by *random precharging* and the specific operations required to perform random precharging of critical operations differ for each device, depending on its power consumption characteristics. For example, random precharging would not offer protection for devices that employ precharged busses. The selection of the appropriate countermeasure is the responsibility of the user; the compiler will deterministically apply the countermeasure, regardless of whether or not it will be effective for a given target device.

Our target device was an 8-bit AVR microcontroller. We ran some initial experiments to discern an appropriate mechanism to perform random precharging. This needs to be done once for each device to find an appropriate sequence of instructions to protect each instruction type (e.g., load, add, xor, etc.). As an example, the compiler would replace a sensitive AVR load instruction `lds Rd, ADDR` with the following sequence of three instructions:

```
lds Rr, RND ; assume that RND holds a random value
mov Rd, Rr ; and Rr is unused at the moment
lds Rd, ADDR
```

Precise implementation details for each potential countermeasure and each target device are beyond the scope of this paper; the key point here is that some empirical measurements are necessary in order to support new countermeasures in the compiler, and to retarget the compiler to new devices.

### 5.2 Global Code Transformations

Some countermeasures require propagating information between dependent idioms. In this case, the compiler applies *global code transformations* to the slices that are constructed as explained in Section 4. *Boolean masking* [1], [6], [12], [28] is one of the most popular and comprehensively analyzed countermeasures against power analysis attacks that requires global code transformations and is known to provide strong protection against first-order attacks. *Boolean masking* xors the intermediate results of a computation with some uniformly random values (*masks*) so that none of the intermediate values are revealed. The masks are propagated throughout the slices, and are then removed at the end. In the discussion that follows, we use the term *binary addition* to refer to bitwise-xor operation.

Recall that each slice identified for protection includes a set of idioms that directly access critical data (*sources*), followed by their ancestors that depend on the critical data; each *source* has no predecessors in the slice. The compiler first applies the masks at the sources; then traverses the slice forward, propagating the masks along to intermediate nodes; it then removes the masks at the *sinks* at the end of slice.

Fig. 5 provides an example of mask application and propagation. Let us show how the compiler protects an

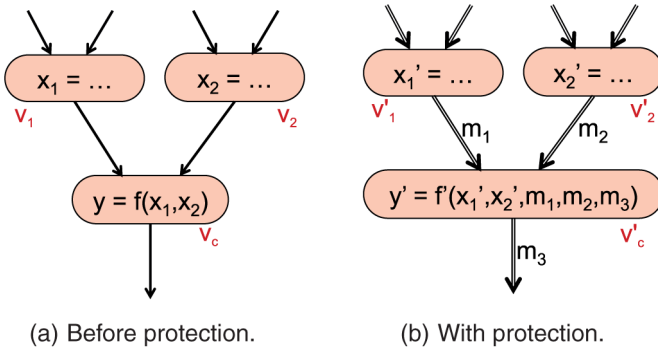


Fig. 5. The sensitive operations are masked with uniformly random values. All the intermediate operations should be masked, so that no intermediate result is revealed. In the protected (masked) version of the graph, masks are shown on the edges. In this example,  $x'_1 = x_1 \oplus m_1$ ,  $x'_2 = x_2 \oplus m_2$  and  $y' = y \oplus m_3$ .

intermediate node,  $v_c$ , of the input program slice which performs the operation  $\langle y = f(x_1, x_2) \rangle$ ; we use  $\langle \cdot \rangle$  notation to represent a piece of program (i.e., a node of the graph). In the protected program slice, let  $v'_1$  and  $v'_2$  denote the already masked versions of  $v_1$  and  $v_2$  respectively, where  $x'_1 = x_1 \oplus m_1$ ,  $x'_2 = x_2 \oplus m_2$ , and  $m_1$  and  $m_2$  are the propagated masks. The compiler replaces the node  $v_c$  with a masked variant  $v'_c$  that implements  $\langle y' = f'(x'_1, x'_2, m_1, m_2, m_3) \rangle$  and propagates the mask  $m_3$  to the ancestors, where  $y' = y \oplus m_3$ . The function  $f'$  depends on the distribution of  $f$  over the binary addition ( $\oplus$ ) operation. If  $f$  is a linear function, then it is straightforward to compute  $f'$ , since  $f$  is distributive over binary addition. If  $f$  is non-linear, then specific care needs to be taken to ensure correctness. The transformations applied by the compiler for both cases are discussed below. The entire idea of masking relies on the fact that  $y'$  is statistically independent from  $y$ , and that  $m_3$  is a uniformly distributed random variable.

*Unmasking* is performed on the output of sinks, with respect to the program slice that is being masked. The propagated mask is added to the value computed by the sink, which generates the original result.

**Masking linear operations (i.e., xor):** Binary addition (i.e., xor) is the simplest case of masking. Consider node  $\langle y = x_1 \oplus x_2 \rangle$  in the unprotected graph (i.e.,  $f$  is binary addition in Fig. 5). The compiler starts masking at the sources of the slice; when it reaches the binary addition operation, the inputs,  $x_1$  and  $x_2$  are already masked, i.e.,  $x'_1 = x_1 \oplus m_1$  and  $x'_2 = x_2 \oplus m_2$ . The output mask is  $m_3$ , so to recover the output, the compiler generates the output  $y' = y \oplus m_3$  and propagates the mask  $m_3$ . The compiler uses Transformation 1 to generate the protected output node.

---

#### Transformation 1. Linear Operations.

---

Protects node  $\langle y = x_1 \oplus x_2 \rangle$

**Output:**

$v'_c$ : masked node

**Inputs:** ( $m_1, x'_1, m_2, x'_2, m_3$ )

$m_1$ : mask propagated from first ancestor of  $v'_c$

$x'_1$ : output of first ancestor of  $v'_c$  (i.e.,  $x'_1 = x_1 \oplus m_1$ )

$m_2$ : mask propagated from second ancestor of  $v'_c$

$x'_2$ : output of second ancestor of  $v'_c$  (i.e.,  $x'_2 = x_2 \oplus m_2$ )

$m_3$ : mask to be propagated from  $v'_c$

**return**  $\langle y' = x'_1 \oplus x'_2 \oplus m_3 \oplus m_2 \oplus m_1 \rangle$

---

The protected node ( $v'_c$ ) consists of 4 xor operations and optional load/store operations depending on the availability of the data in registers. The order of these operations in the protected output code is very important. For maximum security, our compiler never reveals the intermediate values ( $x_1, x_2$ , or  $y$ ). Secondly, it does not generate an assembly language program that performs two consecutive operations whose Hamming distance is equal to an intermediate value. An example of a bad ordering is  $\langle y' = ((x'_1 \oplus m_1) \oplus (x'_2 \oplus m_2)) \oplus m_3 \rangle$ , which reveals all three intermediates results.

The preceding example assumed that both operands of the binary addition instruction are masked; however, only one operand may be masked in some cases. In this case, it suffices to omit the non-existing masks in the formula for  $y'$ .

Masking a single operation in isolation is a peephole substitution; however, the information (masks) must propagate through the slice, and the masks must be removed at the end; consequently, the transformation is *global*, rather than *local*.

Masking requires available registers to store the masks; this information is obtained through register liveness analysis. If insufficient registers are available, then some values residing in registers are spilled (stored to memory) and reloaded after the masks are no longer needed.

**Masking non-linear operations:** Cryptographic algorithms use non-linear operations to ensure Shannon's property of confusion [33], i.e., to obscure the relationship between plaintext and ciphertext; a *substitution box*, (S-box), is generally used for this purpose. Non-linear operations are typically implemented as lookup tables in software, where the index into the table depends on the input (e.g., plaintext and key). For example, in Fig. 3(c), the operation 6 ( $r24 = \text{sbox}[r24]$ ) is a table lookup, since the index of the array `sbox` is input-dependent. The other memory access operations, 3, 4 and 7, are not table lookups because the addresses that they access can be determined statically. The compiler masks a load operation as a table lookup if and only if the accessed address is input dependent. The compiler decides whether an address is input dependent by automatically applying a standard static analysis pass (*constant propagation*): if the queried address is a constant, it is not a table lookup; otherwise it is treated as a table lookup.

Masking non-linear operations is challenging and solutions generally require replicating the tables, one for each mask value. Our compiler uses a similar approach to Herbst et al.'s [16] for masking the non-linear operations. The node representing a sensitive table lookup operation  $\langle y = S[x] \rangle$  has one parent: the node corresponding to the operation that computes  $x$ ; after masking,  $x$  is replaced with  $x' = x \oplus m_1$ . The problem here is that we can not express the masked output  $y' = y \oplus m_3 = S[x] \oplus m_3$  using  $S[x']$  and linear operations; thus, we use a different masked table  $S'_m$  for each possible value of  $m$ . To make this approach feasible for memory-constrained embedded systems, we limit the



number of masked tables, while still ensuring a good level of protection [16], [29]. Our compiler automatically detects the minimal number of masks using the mask optimization algorithm described below.

In order to generate masked output  $y' = y \oplus m_3$  from the input  $x' = x \oplus m_1$ , we use the formula  $y' = S[x] \oplus m_3 = S[x' \oplus m_1] \oplus m_3 = S'[m_3][x' \oplus m_3 \oplus m_1]$ . The compiler uses Transformation 2 to generate the protected output node. Masked tables are generated using the formula  $S'_m(i \oplus m) = S(i) \oplus m$ , where  $0 \leq i < t$  and  $t$  represents the size of the table  $S$ . As stated by Oswald and Schramm [29], either these tables are precomputed and stored in memory for different values of masks or a code segment that generates these tables at run time is inserted at the beginning of the implementation; our compiler supports both options. The compiler recognizes the size of a table ( $t$ ) using static analysis of array index bound. Since we assumed deterministic control and data flow in the input software, a simple application of constant propagation suffice.

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**Transformation 2. Table Lookups.**


---

Protects node  $\langle y = S[x] \rangle$

**Output:**

$v'_c$  : masked node

**Inputs:**  $(m_1, x', m_3)$ 

$m_1$  : mask propagated from the only ancestor of  $v'_c$

$x'$  : output of the only ancestor of  $v'_c$  (i.e.,  $x' = x \oplus m_1$ )

$m_3$  : mask to be propagated from  $v'_c$

**return**  $\langle y' = S'[m_3][x' \oplus m_3 \oplus m_1] \rangle$

---

The vast majority of cryptographic algorithms are built on top of linear operations or table lookups. In principle, any other operation can be implemented as a table lookup and protected as a non-linear operation. Also, in order to avoid the cost of masked tables, some operation-specific optimizations are possible. For example, we can mask a *shift* operation  $\langle y = x \ll c \rangle$ , by replacing it with  $\langle y' = (x' \ll c) \oplus m_3 \oplus (m_1 \ll c) \rangle$ . The same technique could also be applied to immediate type Boolean operations, such as  $\langle y = x \& 5 \rangle$  and  $\langle y = x|3 \rangle$ .

**Optimization of number of masks:** Our compiler protects all operations that propagate sensitive information; however, using a separate mask for each operation adds a significant run-time and code-size overhead. In order to limit the overhead, our compiler automatically minimizes the total number of masks, without sacrificing protection. Different masks are assigned for all inputs ( $m_1$  and  $m_2$ ) and output ( $m_3$ ) of a slice node, in order to avoid the removal of masks during an intermediate operation; however, two independent nodes might share a mask without any risk.

We formalize the mask minimization problem as the *edge coloring* of the program slice; each input/output of a node should have different color (i.e., mask). For example, in Fig. 5(b)  $m_1$ ,  $m_2$  and  $m_3$  should be different. According to *Vizing's theorem* [44], the edges of an undirected graph can be colored using at least  $\Delta$  or  $\Delta + 1$  colors depending on the

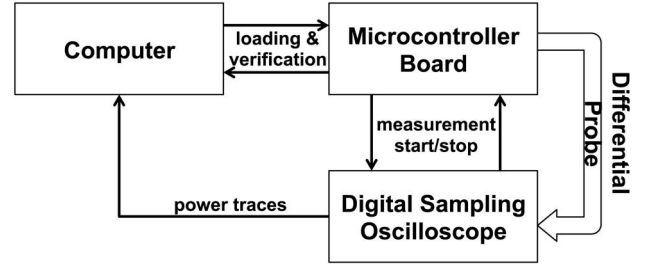


Fig. 6. Experimental setup. A differential probe connected to a digital sampling oscilloscope measures power consumption. A PC processes the power traces and communicates with the board to load software and verify correct encryption.

graph, where  $\Delta$  is the maximum degree of graph. Since the number of edges per node (degree of the graph) is at most 3 (i.e., 2 for incoming edges and 1 for outgoing edge), the optimal number of colors (i.e., masks) is at most 4 for any implementation. Our compiler implements the algorithm of Misra and Gries [25] to color the edges.

**Unmasking:** The final step is to remove the mask from the sinks of each slice, which will generate the correct (unmasked) value at the end of execution. Suppose that the sink computes  $y$  in the original source code, and calculates  $y'$  with propagated mask  $m_3$  in the protected source code, i.e.,  $y' = y \oplus m_3$ . Then the compiler adds the operation  $\langle y = y' \oplus m_3 \rangle$  to the end of the program to perform unmasking.

**Output code generation:** The final step is to convert the transformed program representation into assembly code. This step is straightforward since each node is an instruction or an idiom, which is easily revertible. The output routine reserves enough space to store the masked lookup tables and the masks used during the computations. Random numbers are generated using the hardware random number generator of the microcontroller if it exists; otherwise a code segment that generates them by standard library calls is inserted by the compiler. Lastly, the intermediate representation is traversed to generate the protected program.

## 6 EXPERIMENTAL RESULTS

We use *random precharging* as an example of a countermeasure for which peephole optimization suffices, and *Boolean masking* as an example of a countermeasure that requires global code transformation for proper insertion. We selected two block ciphers to use as benchmarks: AES and *Clelia*. For AES, we used a hand optimized assembly [5], and a naive-C implementation. For *Clelia*, we used only a naive-C implementation; to the best of our knowledge, no hand-optimized assembly language implementations of *Clelia* are presently available. Both naive-C implementations are compiled with AVR-gcc cross-compiler using three optimization levels (i.e., -O0, -O1 and -O2). The experimental results demonstrate that the compiler can successfully automate the insertion of the protection mechanisms into otherwise unprotected code. Our target platform is an 8-bit Atmel AVR ATmega microcontroller.

### 6.1 Experimental Setup

Fig. 6 illustrates the experimental setup that we used to measure the power consumption. It includes a PC, the microcontroller board, a digital sampling oscilloscope, and a



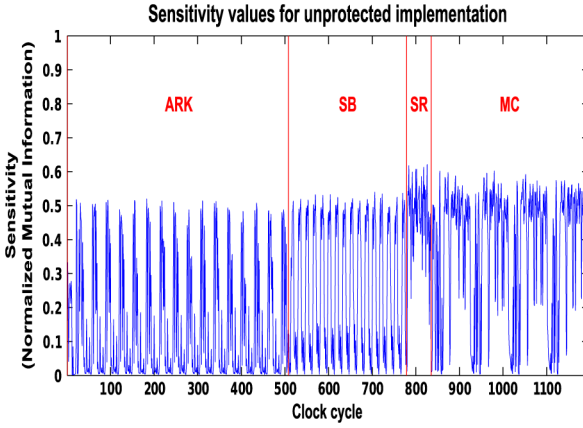


Fig. 7. Sensitivity values for each clock cycle during the execution of one round of the unprotected AES implementation. Higher sensitivity values means higher vulnerability to side-channel attacks. Fig. 4 associates these clock cycles with specific instructions, and the compiler will determine which of these instructions to protect.

differential probe. The board was designed internally and we calibrated all equipment, to the best of our ability, to reduce electronic noise as much as possible. Each experiment was repeated 25 times and averaged results are reported to further eliminate random effects caused by measurement.

Power is measured across a  $10\Omega$  resistor connected in series to the  $V_{cc}$  pin of the microcontroller using the differential probe connected to a digital sampling oscilloscope. The microcontroller and oscilloscope communicate to start and stop measurements. The power traces collected by the oscilloscope are sent to the PC for off-line analysis (attacks). The PC loads software onto the microcontroller board, and verifies the results computed by the microcontroller.

## 6.2 Random Precharging Experiments

We used our compiler to automatically apply *random precharging* method to the given implementations. Our experiments use the following command-line parameters, which are passed to the compiler: `--dynamic-analysis traces.txt --threshold = 0 --method = randomPrecharging`. We generated a file containing power traces, “traces.txt”, by running the microcontroller using randomly-generated (*plaintext*, *key*) pairs, and collecting real-time power measurements. The compiler automatically determined sensitive instructions using *dynamic analysis* (Section 3.2).

First, we set the threshold value to *zero* to generate a fully-protected implementation. From there, we determined the best achievable sensitivity, which was 0.4. We re-ran the compiler using a threshold value of 0.4 to generate the partially protected implementation. Our experimental results show that the partially protected implementation is smaller and faster than the fully protected implementation, while providing similar security.

### 6.2.1 Security Evaluation

This section reports how the protection improves security. First, we show how the compiler determines sensitive instructions by dynamic analysis. Fig. 7 reports the sensitivity of each clock cycle during the first round of the unprotected AES implementation running on the microcontroller. The regular structure of the AES algorithm leads to regular patterns

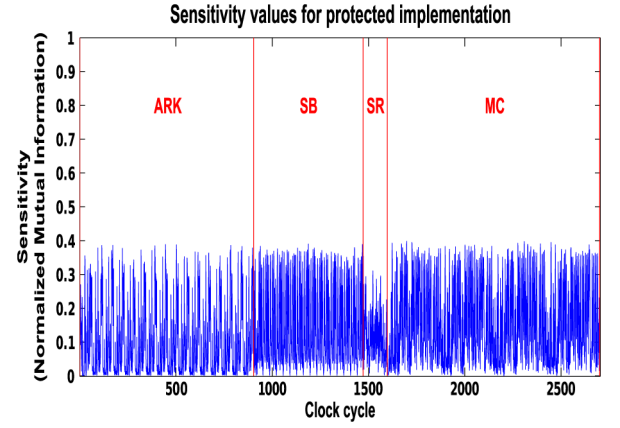


Fig. 8. Sensitivity values of each clock cycle during the execution of one round of the AES implementation protected by *random precharging*. As in Fig. 7, higher sensitivity means higher vulnerability against side-channel attacks. All sensitivity values are below the threshold, 0.4, as a result of the protection scheme.

corresponding to the four main AES operations: *AddRound-Key*(ARK), *SubBytes*(SB), *Shift Rows* (SR), and *MixColumns* (MC). The internal state of the AES algorithm is represented as a  $4 \times 4$  array, and each operation acts on individual bytes of the state, leading to further regularity.

Non-linear operations, such as *S-boxes*, are generally the target of side channel attacks, because they highlight the difference between incorrect and correct key guesses; this increases the probability of a successful attack [30]. For microcontrollers, data transfer instructions, i.e., loads and stores, are known to leak more information relative to other instructions [23]. Fig. 7 validates these past observations. The SR operation permutes the *S-box* outputs via load and store instructions, and thus has the highest sensitivity peaks. MC also re-loads the *S-box* outputs, and has high sensitivity values as well.

Figs. 8 and 9 show the results of our partially protected implementation. Fig. 8 shows that all sensitivity values for the partially-protected implementation are below the threshold value of 0.4 during every clock cycle; Fig. 9 shows the sensitivity values for the protected code snippet from Fig. 4.

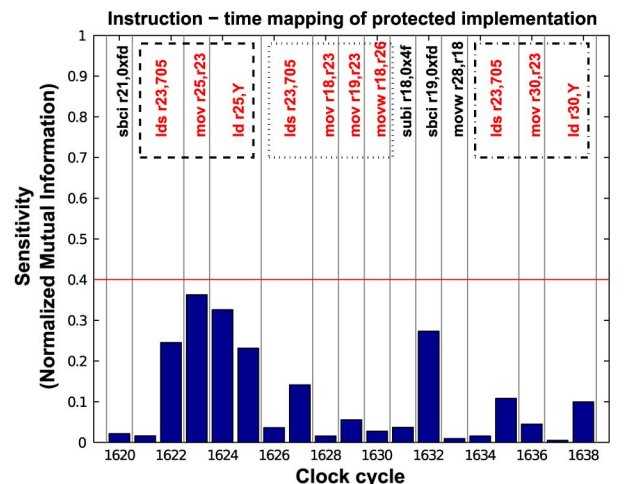


Fig. 9. The protected code segment from Fig. 4; the sensitivity of all instructions is now below the threshold.

TABLE 1

The Number of Clock Cycles Required to Execute Different Executions of *AES* and *Clelia* (Including Key Scheduling) Running on the AVR Microcontroller

Implementation	Original	Random Precharging (Partially-protected)		Random Precharging (Fully-protected)	
		Total	Overhead	Total	Overhead
AES Optimized-assembly	3495 [5]	11131	7636 (218%)	11620	8125 (232%)
AES Naive-C with -O0	25302	48092	22790 (90%)	88768	63466 (251%)
AES Naive-C with -O1	11290	26212	14922 (132%)	40034	28744 (255%)
AES Naive-C with -Os	9978	25269	15291 (153%)	34899	24921 (250%)
Clelia Naive-C with -O0	148149	225572	77423 (52%)	470039	321890 (217%)
Clelia Naive-C with -O1	51149	97117	45968 (90%)	200492	149343 (292%)
Clelia Naive-C with -Os	36565	78469	41904 (115%)	138684	102119 (279%)

Random precharging is automatically applied on each implementation, using two different threshold values: the pareto-optimal threshold determined as explained in Section 3.2, and zero (for full protection). Both protections have same level of security.

TABLE 2

The Code-Size (in Bytes) of Different Implementations of *AES* and *Clelia* on the AVR Microcontroller for the *Random Precharging* Experiments (the Size of Data, e.g., S-Boxes, Is Not Included)

Implementation	Original	Random Precharging (Partially-protected)		Random Precharging (Fully-protected)	
		Total	Overhead	Total	Overhead
AES Optimized-assembly	802 [5]	2098	1296 (162%)	2160	1358 (169%)
AES Naive-C with -O0	1354	2378	1024 (76%)	4010	2656 (196%)
AES Naive-C with -O1	864	1698	834 (97%)	2770	1906 (221%)
AES Naive-C with -Os	912	1752	840 (92%)	2598	1686 (185%)
Clelia Naive-C with -O0	3108	5912	2804 (90%)	10802	7694 (248%)
Clelia Naive-C with -O1	1870	3406	1536 (82%)	6502	4632 (248%)
Clelia Naive-C with -Os	2350	4222	1872 (80%)	8194	5844 (249%)

To determine the noise standard deviation,  $\sigma$ , used by the normalized mutual information theoretic metric (Section 3.2), we ran a small code segment that is independent of the key and plaintext. We then computed the standard deviation of the signal for each clock cycle; the maximum value among all cycles was chosen as the noise standard deviation.

To further evaluate the security of the protection mechanism, we mounted a correlation-based power analysis attack (i.e., CPA, see Section 2.1) to the naive and protected (by *random precharging*) implementations of *AES*. We used 20,000 different power traces and calculated the correlation coefficient,  $\rho$ , for both implementations. We used Hamming Weight as the power model and the output of the S-Box operation as attack point. As a result of the attack, we observed a 8.79 times decrease in correlation (see Appendix, which can be found in the Computer Society Digital Library at <https://doi.ieeecomputersociety.org/10.1109/TC.2013.219>.) from the unprotected implementation ( $\rho = 0.422$ ) to the protected implementation ( $\rho = 0.048$ ). As noted by Mangard et al. [23], the number of power traces required to mount a successful attack increases by a factor of  $k^2$  if the correlation coefficient decreases by a factor of  $k$ ; thus, we estimate that  $8.79^2 \approx 76$  times more traces are required to successfully attack the protected implementation. Once again, we wish to emphasize that this factor of 76 is germane to the *random precharging* scheme and the correlation-based power analysis attack, not the specific compiler algorithms that are used to introduce it.

### 6.2.2 Performance and Code-Size Evaluation

Table 1 reports the number of clock cycles during the execution of three different versions of each implementation: the baseline (unprotected) version and the partially and fully protected implementations. Table 2 reports the code-size in bytes. The

size of data, e.g., S-Boxes, is not included in the results, since they are the same for all three versions of each implementation.

Protecting an instruction entails the insertion of additional instructions, so the fully-protected version is an upper bound on the runtime and code-size overhead that could result from an overzealous application of the countermeasure used in this study. The partially-protected version of each implementation achieves the same level of security as the fully-protected version with up to 52% runtime and 48% code-size improvement. Fig. 10 shows how the *threshold* effects the run time for one of the naive-C *AES* implementations.

The relative performance overhead due to random precharging is nearly constant across all fully-protected

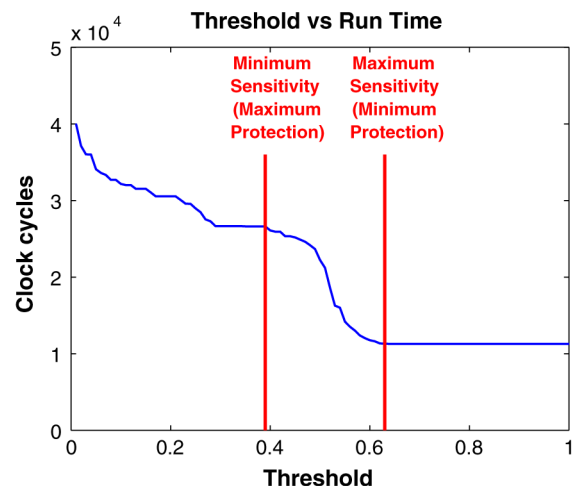


Fig. 10. Increasing the *threshold* reduces run-time (increases performance), but reduces the protection. Protection is equal to the threshold in the interval between two perpendicular sensitivity lines; however stays stable before *min sensitivity* and after *max sensitivity* lines.

TABLE 3

Experiments in Tables 1 and 2 Are Repeated for the Automatic Application of the *BooleanMasking* Countermeasure

Implementation	Performance (clock cycles)			Code Size (bytes)		
	Original	Masking		Original	Masking	
		Total	Overhead		Total	Overhead
AES Optimized-assembly	3495 [5]	5795	2300 (66%)	802 [5]	1334	532 (66%)
AES Naive-C with -O0	25302	27666	2364 (9%)	1354	1614	260 (19%)
AES Naive-C with -O1	11290	13582	2292 (20%)	864	1120	256 (30%)
AES Naive-C with -Os	9978	12270	2292 (23%)	912	1180	268 (29%)
AES Manually Masked (Herbst et al. [16])						
w/ generation of masked tables	-	8420	-	-	-	-
w/o generation of masked tables	-	5620	-	-	-	-
AES Manually Masked (Oswald and Schramm [29])						
masking only table lookups	-	-	12800	-	-	-
Clelia Naive-C with -O0	148149	154991	6842 (4%)	3108	3882	774 (25%)
Clelia Naive-C with -O1	51149	57555	6406 (13%)	1870	2508	638 (34%)
Clelia Naive-C with -Os	36565	43055	6490 (18%)	2350	3018	668 (28%)

We used precomputed masked tables in our performance results.

implementations of a specific algorithm. This is mainly due to local application of countermeasure, as each instruction is replaced with one or more instructions.

### 6.3 Boolean Masking Experiments

Next, we used our compiler to automatically apply *Boolean masking* to AES and Clelia. We executed the compiler using command-line parameters `--static-analysis--critical=Key, Plaintext--method=masking`. The parameters inform the compiler to use *static analysis* (Section 3.1) to estimate the sensitivity, to treat the *key* and *plaintext* as critical data, and to use *Boolean masking* as the protection mechanism. The compiler automatically generated protected outputs.

#### 6.3.1 Security Evaluation

The security of masking, both in theory and practice, has been studied in detail, and has been shown to be resistant against first-order differential power analysis attacks [17], [24], [27], [36], [45]. Our application of masking is based on common strategies that have been suggested in the literature. Once again, our contribution is the development of a compiler that can introduce this countermeasure automatically; our results are in-line with prior work.

We first applied a sensitivity analysis on the protected implementation and observed that sensitivities of all instructions are close to 0 for the masked implementation; they are not zero because of the experimental noise.

Then, we mounted a correlation-based power analysis attack (CPA) on all implementations of AES and Clelia using 5000 power traces, and calculated the correlation coefficients. Once again, we used Hamming weight as a power model and attacked the output of the *S-box* operation. The correlation for the correct key never exhibited a peak neither for AES nor for Clelia algorithms, regardless of the number of traces used. Plot of the correlation for the correct key and incorrect keys as a function of the number of traces for AES implementation is shown in Appendix, which can be found in the Computer Society Digital Library at <https://doi.ieeecomputersociety.org/10.1109/TC.2013.219>.

#### 6.3.2 Performance and Code-Size Evaluation

Table 3 reports the results of our *Boolean masking* experiments. Our compiler took less than one second to automatically mask

each of these implementations. The performance overhead incurred by masking appears to be independent of the optimization parameter of *gcc*; the number of sensitive instructions is a property of the algorithm, and the optimization level cannot remove sensitive operations (as they are a required part of the algorithm) or transform them into less sensitive operations. The sensitive operations process critical data, either directly or indirectly. Non-sensitive operations include loop counter iterations, and do not benefit from masking.

There are two manually masked AES implementations for the AVR, provided by Oswald and Schramm [29] (masks only the table lookups) and Herbst et al. [16]; our compiler produces implementations with comparable results (see Table 3). Note that the given results highly depend on the factors such as the used base implementation, whether the masked tables are precomputed or generated at run time and how many masked tables are generated. A detailed analysis that explains how these parameters effect the performance is given in the paper of Oswald and Schramm [29] and is beyond the scope of this paper. In the given results, our compiler and Oswald and Schramm's [29] manual implementation uses precomputed masked tables approach, whereas Herbst et al. uses run time generated tables. Our compiler also supports generating the masked tables at run time (see Section 5.2) and an additional  $11 \times t$  clock cycles are needed for each masked table generation, where  $t$  is the size of table to masked. In the AES implementations, we use 2 tables: one for S-Box and one for xtime. Generating a masked table for each of them for a single mask takes 2816 clock cycles, resulting in additional 5632 clock cycles. In Clelia, we use 4 tables: 2 for S-Boxes, one for multiplication over  $GF(2^8)$ , and one for constant values used in key scheduling algorithm. Similar approach results in additional 11264 clock cycles for Clelia implementations. We are unaware of any prior masked Clelia implementations, so we were unable to perform a direct comparison with prior work.

Code size also depends on same parameters as the performance. Each masked table takes additional  $t$  bytes and the code that generates a masked table at run time takes additional 14 bytes. For example, the overhead of 2 masked tables is 512 bytes for the AES implementation; if the user selects the compiler option to generate the masked tables at run-time, additional 28 bytes will be used, resulting in  $512 + 28 = 540$  bytes overhead in total. Table 3 does not include these overheads.





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