

# Mathematical Optimization Approach in Economic and Optimal Scheduling of Energy Management System in a Hybrid Ferry

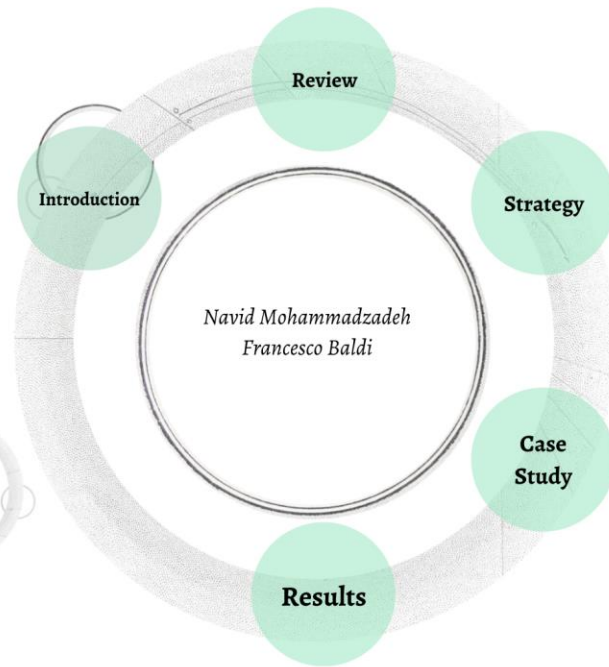
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**EPFL**  
ÉCOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE



**IPESE**  
INDUSTRIAL PROCESS AND  
ENERGY SYSTEMS ENGINEERING

MOSES Workshop - October 2017



## Master Thesis

Simulation and Control of Hybrid Ferry  
Defined By Damen Shipyard  
Gorinchem, Netherland

1

7

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5

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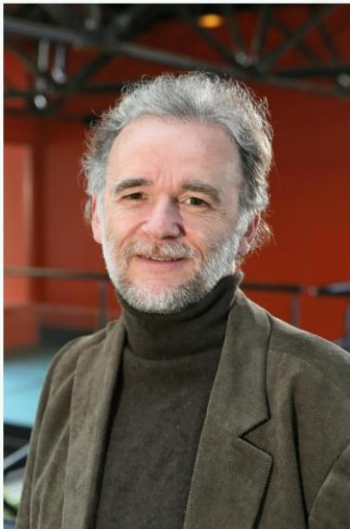
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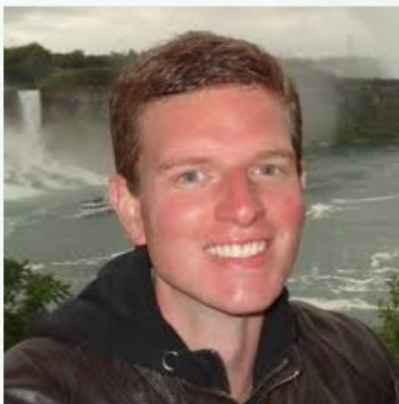


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### Why Hybridization?

Problem?

EMS/PMS

Solution

Power Plant  
Configuration

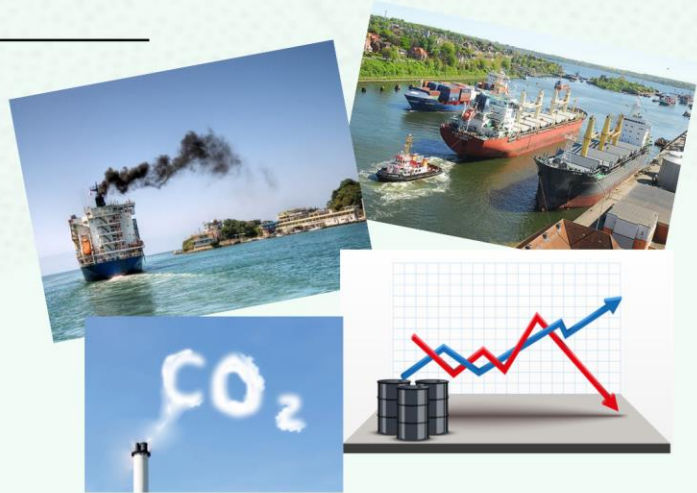
Gantt  
Chart

# Problem?

- Important Share in the World Trade Transportation
- Fluctuation of the Fuel Price
- Tough Environmental Regulations by International Maritime Organization
- Significant contribution to global greenhouse gas emission

\*\*CO<sub>2</sub> Emission increases between 50%-250% by 2050. [IMO]

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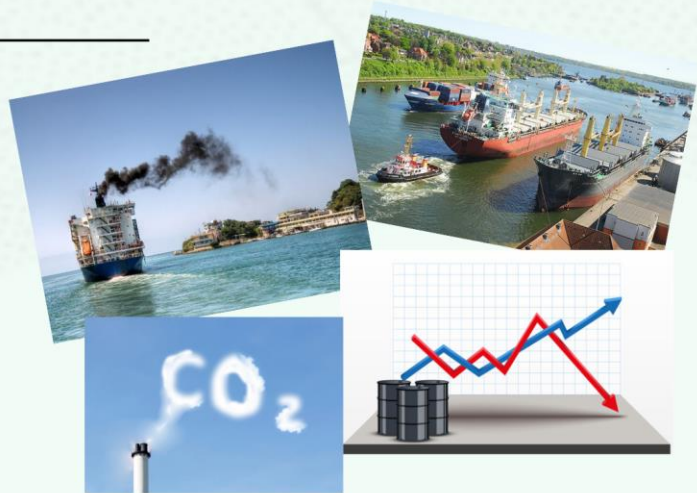
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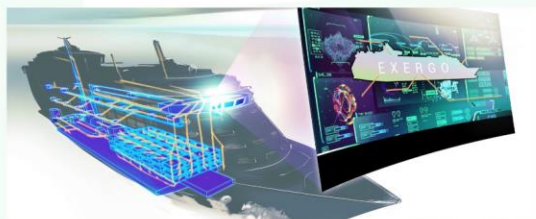
# Problem?

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# Solution

- Advanced, Intelligent and Eco-friendlier Technologies

- Hybridization

- REDUCE the Fossil Fuel Dependency (Alternative Fuels)

- OPTIMAL Energy Management System\*\*

BUT... How?

I have a proposal ...

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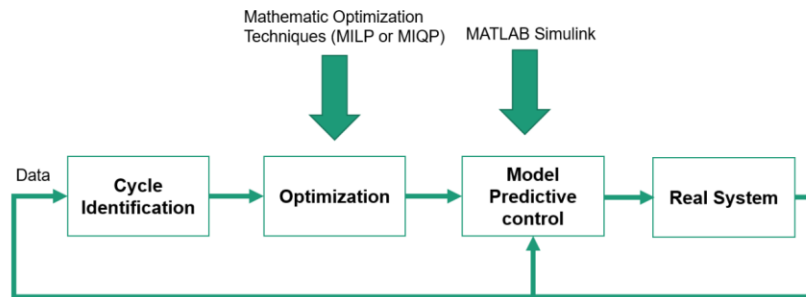
## What I propose ...

Project Gantt Chart



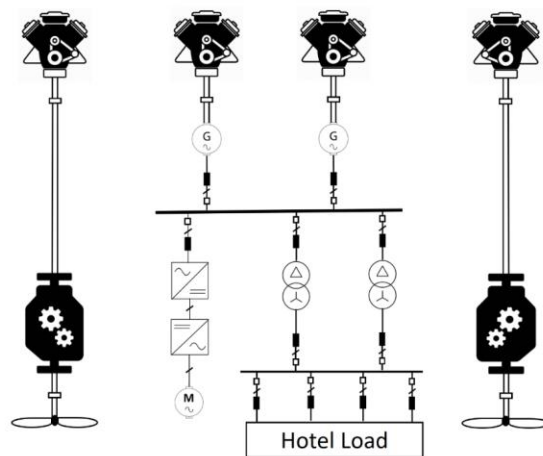
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# Simpler?



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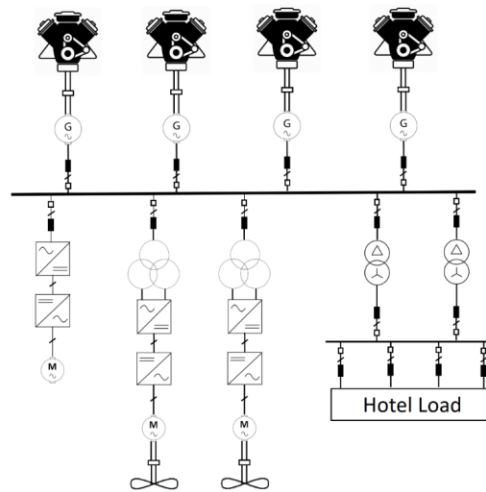
## Power Plant Configurations: Mechanical Propulsion System



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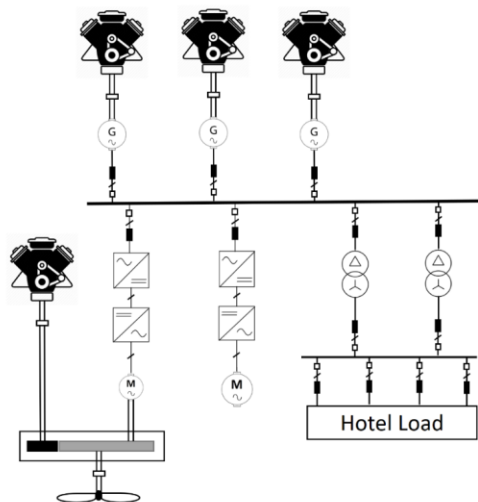


## Power Plant Configurations: Electrical Propulsion System



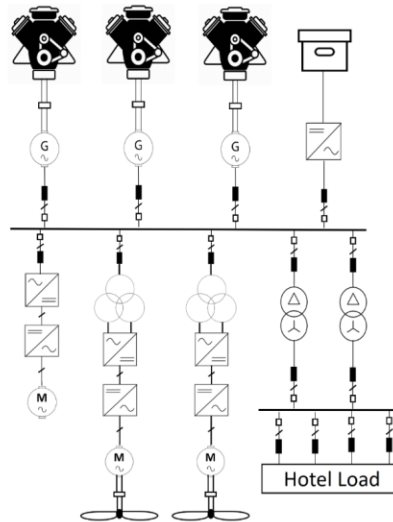
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## Power Plant Configurations: Hybrid Propulsion System



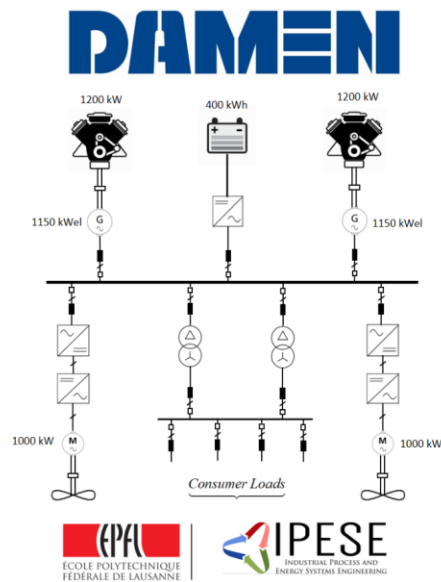
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## Power Plant Configurations: Hybrid Power Supply



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## Case Study Configuration



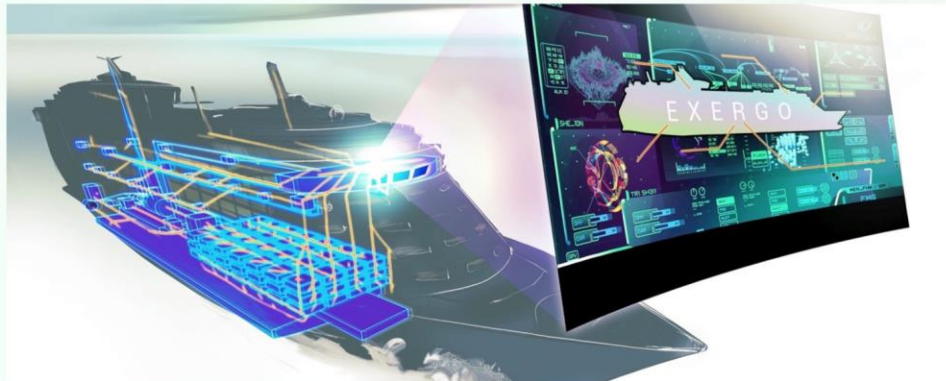
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# EMS: Energy Management System

## PMS: Power Management System



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## What is their Job?!

Both control the ship's power plant and **scheduled load sharing among the power sources** to meet the propeller and hotel load demand.

So What is the difference?

PMS works at **instantaneous** time with stabilizing voltage and frequency

BUT...

EMS considers **past**, **present** along with **future** events.

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## What can we IMPROVE by EMS?



Crews can schedule the gensets



Difficult task with a poor decision



Includes a Big ERROR



Does not support  
Reduction of Fuel Consumption



Neither Environmental Footprints

## Let's focus on EMS...



- EMS as a part of PMS is an **Advanced Decision Support Tool** and a **Scheduling Algorithms** which addresses the “**optimal operational scheduling**” when Energy Storage System wants to synchronize with the other power sources.

# Let's focus on EMS...



**Conclusion: We have a powerful decision maker to have an optimal load sharing among the power sources leading to reduction of fuel consumption and emission.**

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## Off-line Control

Assumption

Procedure

Constraints

Linear vs  
Quadratic Diesel  
Engine Models

Decision  
Variables

Objective  
Function



## Off-line Control Procedure

Analysis the Results

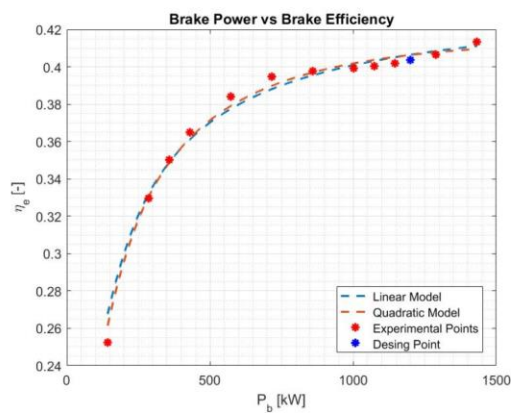
OPTIMIZATION ALGORITHMS (MILP and MIQP)

Defining Objective Function, Decision variables and Weights

Modelling Based on Experimental Data

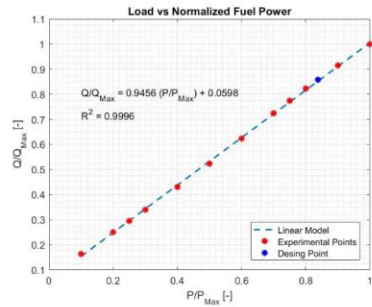
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## Linear vs Quadratic Diesel Enigne Models

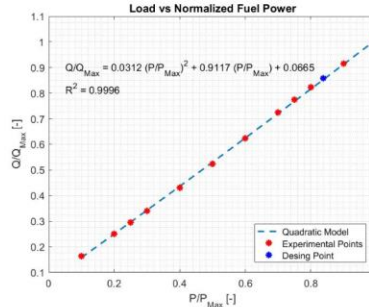


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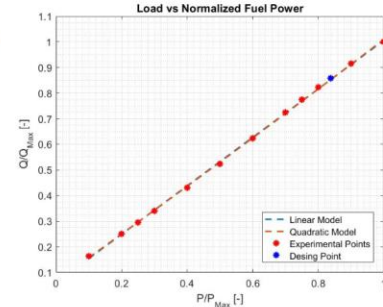
# Linear vs Quadratic Diesel Engine Models



Linear Diesel Engine Model



Quadratic Diesel Engine Model



Comparison of the Two Models

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## Objective Function for MILP Optimization

(Linear Model in the Objective Function)

$$\min_{y_i} J(k) = \underbrace{\sum_i^N (a_1 x_i^{(k)} + a_0 y_i^{(k)})}_{\text{Fuel Consumption}} + w_t \cdot \underbrace{\sum_i^N (y_i^{(k)} \cdot \Delta t)}_{\text{Operational Time}} + w_s \sum_i^N \underbrace{(\delta_i^{(k)})}_{\text{Starts/Stops}}$$

$$\min_{y_i} J(k) = \sum_i^N (a_1 x_i^{(k)} + (a_0 + w_t \cdot \Delta t) y_i^{(k)}) + w_s \sum_i^N (\delta_i^{(k)})$$

k : Time Step Index

i : Engine Index

Wt: Engine Operational Time Weight

Ws: Engine Starts/Stops Weight.

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# Objective Function for MIQP Optimization

(Second order polynomial in the objective function)

$$\min_{y_i} J(k) = \sum_i^N \underbrace{\left( a_2 \left( x_i^{(k)} \right)^2 + a_1 x_i^{(k)} + a_0 y_i^{(k)} \right)}_{\text{Fuel Consumption}} + w_t \cdot \underbrace{\sum_i^N \left( y_i^{(k)} \cdot \Delta t \right)}_{\text{Operational Time}} + w_s \sum_i^N \underbrace{\left( \delta_i^{(k)} \right)}_{\text{Starts/Stops}}$$

$$\min_{y_i} J(k) = \sum_i^N \left( a_2 \left( x_i^{(k)} \right)^2 + a_1 x_i^{(k)} + (a_0 + w_t \cdot \Delta t) y_i^{(k)} \right) + w_s \sum_i^N \left( \delta_i^{(k)} \right)$$

k : Time Step Index


i : Engine Index

Wt: Engine Operational Time Weight

Ws: Engine Starts/Stops Weight.

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## Decision variables

|       |  |   |   |
|-------|--|---|---|
| $f =$ | $\begin{bmatrix} y_1 \\ y_2 \\ u_c \\ u_d \\ \delta_1^{STA} \\ \delta_2^{STA} \\ \delta_1^{STP} \\ \delta_2^{STP} \\ x_1 \\ x_2 \\ P_{Ch} \\ P_{DC} \\ E_{ESS}^0 \\ E_{ESS} \end{bmatrix}$ |  | $\begin{bmatrix} \text{On/Off Switching DE1} \\ \text{On/Off Switching DE2} \\ \text{Battery Charging Switching} \\ \text{Battery Discharging Switching} \\ \text{Number of Starts up for DE1} \\ \text{Number of Starts for DE2} \\ \text{Number of Stops for DE1} \\ \text{Number of Stops for DE2} \\ \text{Load DE1} \\ \text{Load DE2} \\ \text{Battery Charging Power} \\ \text{Battery Discharging Power} \\ \text{Initial Battery State of Charge} \\ \text{Battery State of Charge} \end{bmatrix}$ |
|-------|--|---|---|

14 Decision variables . \* # Time Steps



It Is NoT an EaSy PrObLem!!

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## Constraints: Inequality constraints: (Limitation and safety for the System)

1) Engine Load Limitation:  $x_{\min} \cdot y_i(k) \leq x_i(k) \leq x_{\max} \cdot y_i(k)$

2) Generator Load Limitation :  $x_i(k) \cdot \eta_{gen} \leq x_{Max.Gen} \cdot y_i(k)$

3) Battery Discharge Power Limitation :  $0 \leq P_{Ess}^{Dis}(k) \leq P_{Max.Ess} \cdot u_D(k)$

4) Battery Charge Power Limitation :  $P_{Min.Ess} \cdot u_C(k) \leq P_{Ess}^{Ch}(k) \leq 0$

5) Battery Charging /Discharging:  $u_D(k) + u_C(k) \leq 1$

6) Engine Start/Stop Condition:  $\delta_i^{START}(k) + \delta_i^{STOP}(k) \leq 1$

7) Engine Load Variation Limitation:  $\frac{x_i(k+1) - x_i(k)}{t(k+1) - t(k)} \leq \dot{x}$



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## Constraints: Equality constraints: Talks about the balance equations

1) Power Balance: 
$$\sum_{i=1}^n P_{\max} \cdot x_i(k) \cdot \eta_{gen} + \frac{P_{Ess}^{Ch}(k)}{\eta_{ch}} + P_{Ess}^{Dis}(k) \cdot \eta_{Dis} = \frac{P_{Propellers}}{\eta_{brv} \cdot \eta_{EM}} + P_{Hotel}$$

2) Battery Energy Balance: 
$$E_{Ess}(k-1) - E_{Ess}(k) = (P_{Ess}^{Ch}(k) + P_{Ess}^{Dis}(k)) \cdot \Delta t$$

3) Initial and Final Battery Energy: 
$$E_{Ess}(t=0) = E_{Ess}(t=t_f)$$

4) Starts/Stop: 
$$y_i(k) - y_i(k-1) = \delta_i^{START}(k) - \delta_i^{STOP}(k)$$

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## Assumptions

| Assumption                          | Description                            |
|-------------------------------------|--|
| $P_d = 1200$ [kW]                   | Design Power                           |
| $P_{Max,Generator} = 1150$ [kW]     | Maximum Generator Power                |
| $P_{Max,ElectricMotor} = 1000$ [kW] | Maximum Electric Motor Power           |
| $E_{ESS,Max} = 400$ [kWh]           | Battery Capacity                       |
| $P_{ESS,Max} = 400$ [kW]            | Maximum Discharge Power of the Battery |
| $P_{ESS,Min} = -400$ [kW]           | Maximum Charge Power of the Battery    |
| $\dot{x}_{DE} = 0.416$ [1/sec]      | Maximum Diesel Generator load rate     |
| $SOC_{Max} = 1$                     | Maximum State of Charge of the Battery |
| $SOC_{min} = 0.3$                   | Minimum State of Charge of the Battery |
| $\eta_{Generator} = 0.99$           | Generator Efficiency                   |
| $\eta_{ElectricMotor} = 0.96$       | Electric Motor Efficiency              |
| $\eta_{Charging} = 0.85$            | Charging Efficiency                    |
| $\eta_{Discharging} = 0.98$         | Discharging Efficiency                 |
| $\eta_{inverter} = 0.92$            | Inverter Efficiency (DC-AC)            |
| $\eta_{Rectifier} = 0.96$           | Rectifier Efficiency (AC-DC)           |

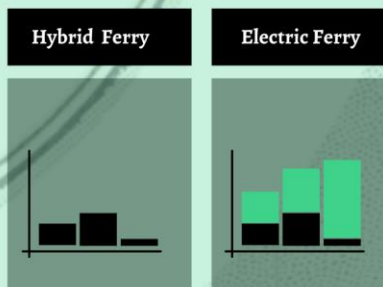


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## Case Study

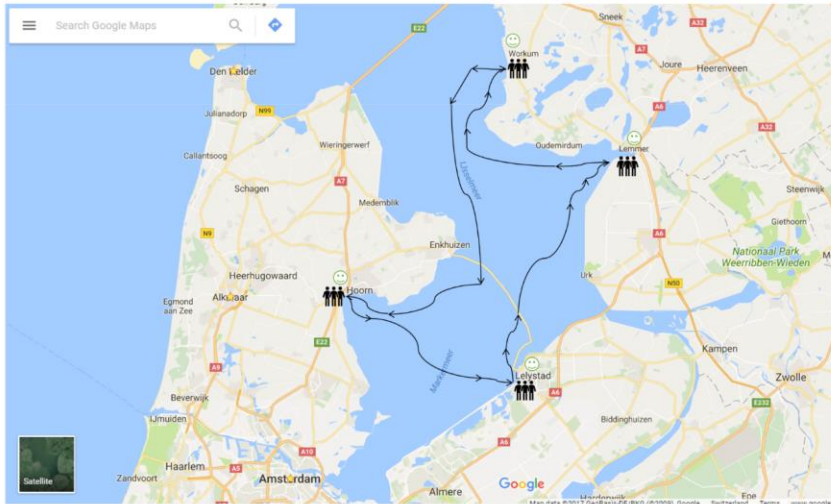
Compare the two state of arts:



Operational  
Profile



## Considered Operational Profile (17 TimeSteps)



It is not a real Operational Profile.

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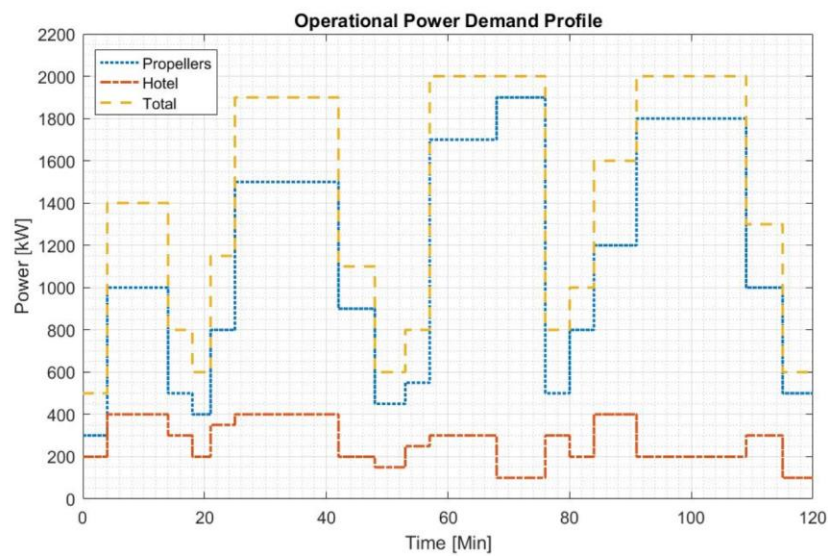
$$14 * 17 = 238 \text{ DECISION VARIABLES}$$



Do not worry! We are Engineers  
and we have COMPUTERS! :)



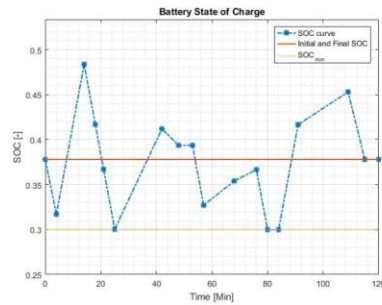
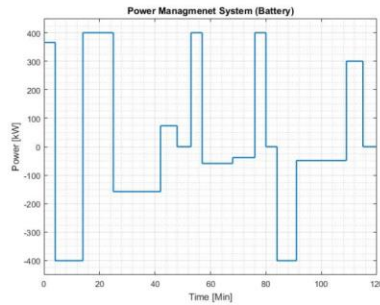
## Operational Power Demand



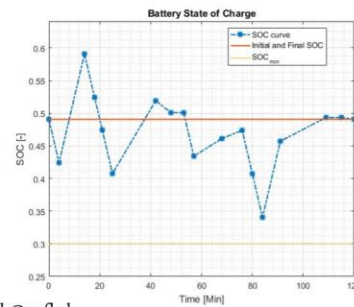
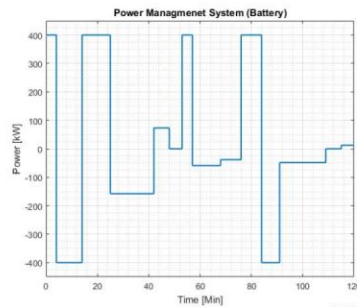
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## MILP vs MIQP: Results come from EMS with $W_t = 0.01$ and $W_s = 0.12$

MILP



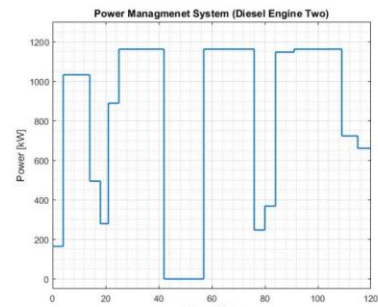
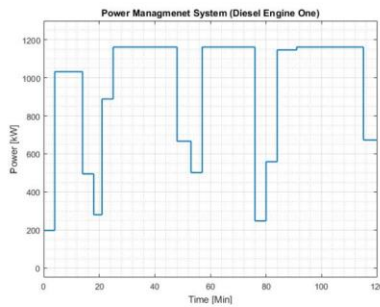
MIQP



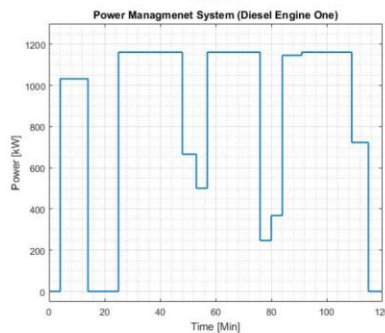
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## MILP vs MILQP results come from EMS with $W_t = 0.01$ and $W_s = 0.12$

MILP



MIQP



## Fuel Consumption and Calculation time for $W_s = 0.12$ and $W_t = 0.01$ with MILP and MIQP Optimization

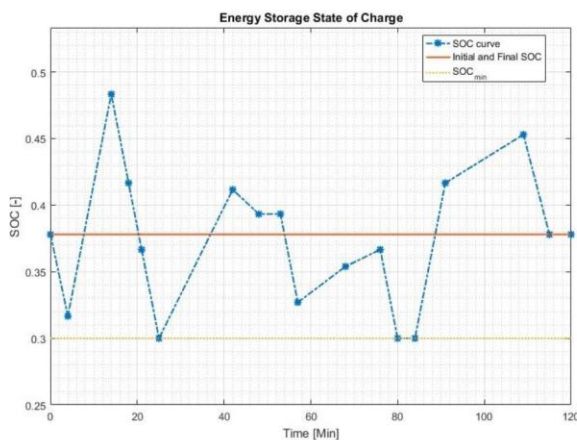
MIQP: 39.81 kTons/Year  
Calculation Time = 141.45 sec.

MILP: 39.94 kTons/Year  
Calculation Time = 0.1138 sec.

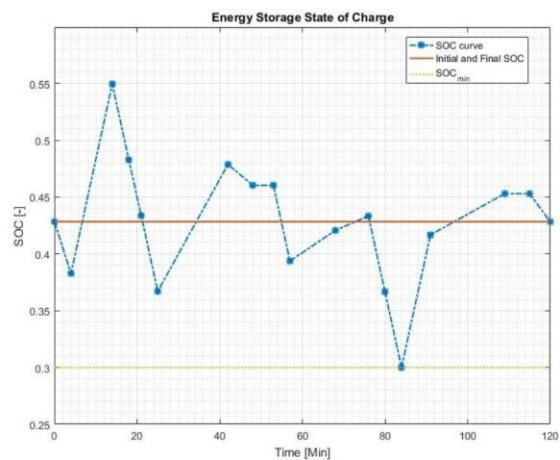


## Effect of Starts/Stops on State of Charge of the Battery: Offline Control --> MILP Optimization

$W_s = 0$



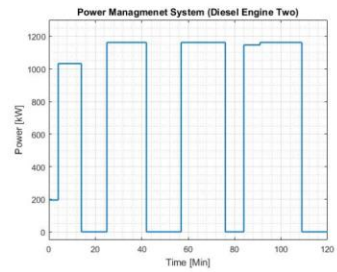
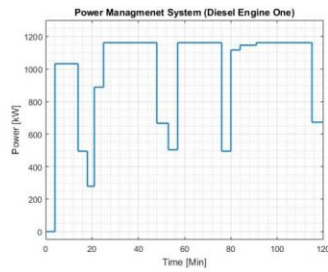
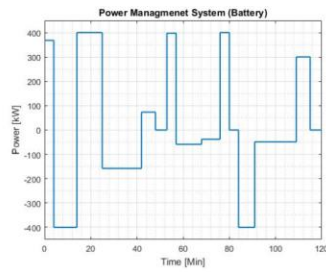
$W_s = 0.15$



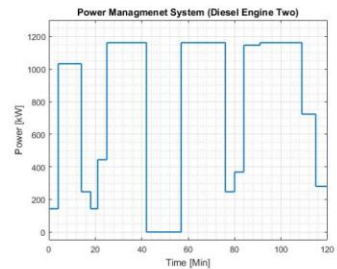
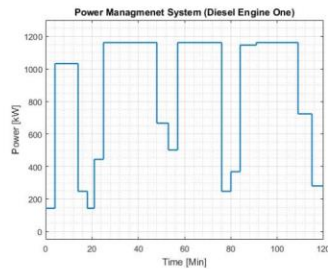
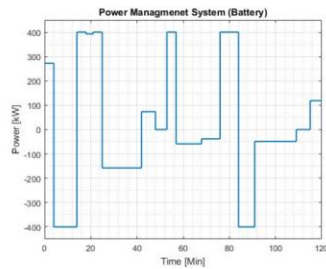
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# Effect of Starts/Stops on PMS

$W_s = 0$



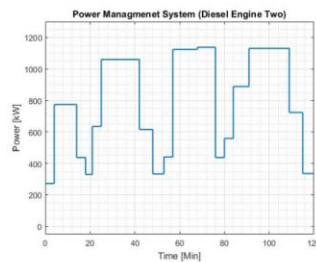
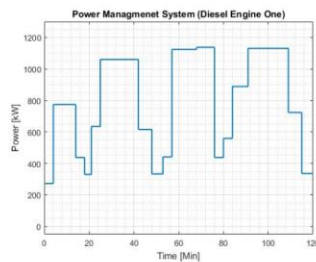
$W_s = 0.15$



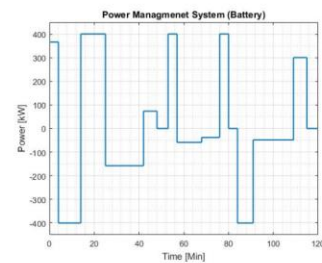
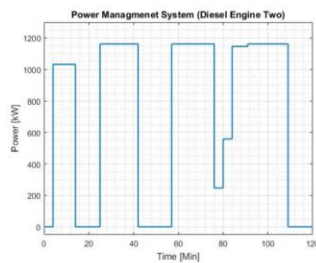
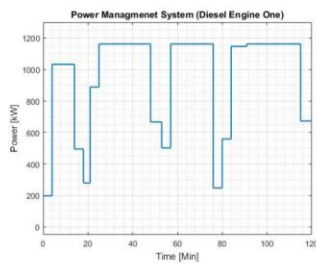
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## Diesel Engine Load in Electric Vs Hybrid Ferry: $W_s = 0.12$

Electric Ferry



Hybrid Ferry

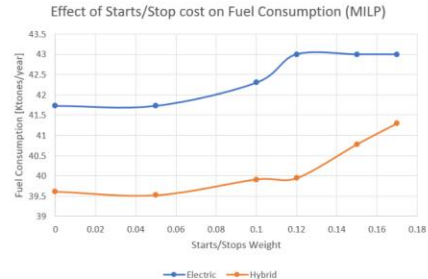


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# Effect of Starts/Stops on Fuel Constumption

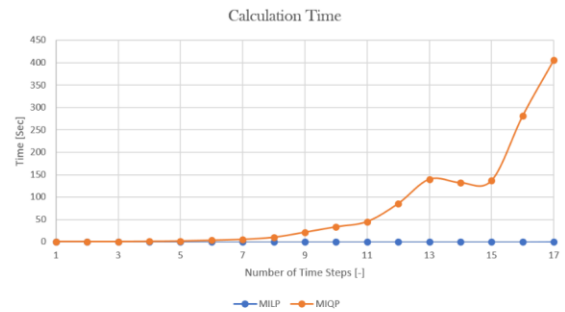
| Ws                                     | Fuel Consumption [kTons/year] | Total Number of Starts/Stops | Objective Function Value |
|--|-------------------------------|------------------------------|--------------------------|
| Electric Ferry (Ws = 0.01)(MILP)       |                               |                              |                          |
| 0                                      | <b>41.73</b>                  | 8                            | 18.2                     |
| 0.05                                   | 41.73                         | 8                            | 18.76                    |
| 0.1                                    | 42.3                          | 4                            | 19.24                    |
| 0.12                                   | 43                            | 0                            | 19.26                    |
| 0.15                                   | 43                            | 0                            | 19.26                    |
| 0.17                                   | <b>43</b>                     | 0                            | 19.26                    |
| Hybrid Ferry (Ws=0.01)(MILP)           |                               |                              |                          |
| 0                                      | <b>39.61</b>                  | 10                           | 16.79                    |
| 0.05                                   | 39.52                         | 8                            | 17.46                    |
| 0.1                                    | 39.91                         | 6                            | 18.08                    |
| 0.12                                   | 39.94                         | 6                            | 18.18                    |
| 0.15                                   | 40.77                         | 2                            | 18.34                    |
| 0.17                                   | <b>41.29</b>                  | 0                            | 18.39                    |
| Differents Between Electric and Hybrid |                               |                              |                          |
| 0                                      | 2.12                          | -2                           |                          |
| 0.05                                   | 2.21                          | 0                            |                          |
| 0.1                                    | 2.39                          | -2                           |                          |
| <b>0.12</b>                            | <b>3.06</b>                   | -6                           |                          |
| 0.15                                   | 2.23                          | -2                           |                          |
| 0.17                                   | 1.71                          | 0                            |                          |
| <b>Average</b>                         | <b>2.29</b>                   |                              |                          |



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## MILP vs MIQP

| Ws = 0.12 and Wt = 0.01 |                  |         |             |                  |       |             |
|-------------------------|------------------|---------|-------------|------------------|-------|-------------|
| Time steps              | Calculation Time |         |             | Fuel Consumption |       |             |
|                         | MILP             | MIQP    | MIQP - MILP | MILP             | MIQP  | MIQP - MILP |
| 1                       | 0.024            | 0.608   | 0.583       | 1.06             | 1.06  | 0           |
| 2                       | 0.035            | 0.571   | 0.536       | 3.97             | 3.97  | 0           |
| 3                       | 0.050            | 0.529   | 0.479       | 5.54             | 5.06  | -0.47       |
| 4                       | 0.020            | 0.980   | 0.960       | 6.03             | 6.04  | 0           |
| 5                       | 0.023            | 1.631   | 1.608       | 8.29             | 8.30  | 0.01        |
| 6                       | 0.024            | 3.313   | 3.289       | 11.88            | 11.88 | 0           |
| 7                       | 0.021            | 5.450   | 5.429       | 13.85            | 13.85 | 0           |
| 8                       | 0.025            | 9.949   | 9.925       | 15.09            | 15.09 | 0           |
| 9                       | 0.029            | 21.344  | 21.315      | 16.70            | 16.71 | 0           |
| 10                      | 0.032            | 33.396  | 33.364      | 20.89            | 20.88 | -0.01       |
| 11                      | 0.035            | 45.190  | 45.094      | 25.01            | 25.01 | 0           |
| 12                      | 0.037            | 85.453  | 85.417      | 26.83            | 26.81 | -0.03       |
| 13                      | 0.039            | 139.722 | 139.683     | 28.43            | 28.42 | -0.01       |
| 14                      | 0.040            | 131.770 | 131.730     | 32.13            | 32.11 | -0.02       |
| 15                      | 0.041            | 136.996 | 136.954     | 36.06            | 36.06 | 0           |
| 16                      | 0.045            | 281.688 | 281.643     | 38.76            | 38.76 | 0           |
| 17                      | 0.076            | 405.998 | 405.921     | 39.94            | 39.82 | -0.12       |



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## **Now Lets Move to Online Real Time Control**

PID Online controller of my project is not finished ...

BUT

Up to now, It shows me the robustness but I will investigate the optimality.  
I expect with the controller we have low fuel consumption close to the reference fuel consumption calculated by the Energy Managment System.

If your have suggestion, do not hesitate telling me to improve my master project. :)

## **Now Lets Move to Online Real Time Control**

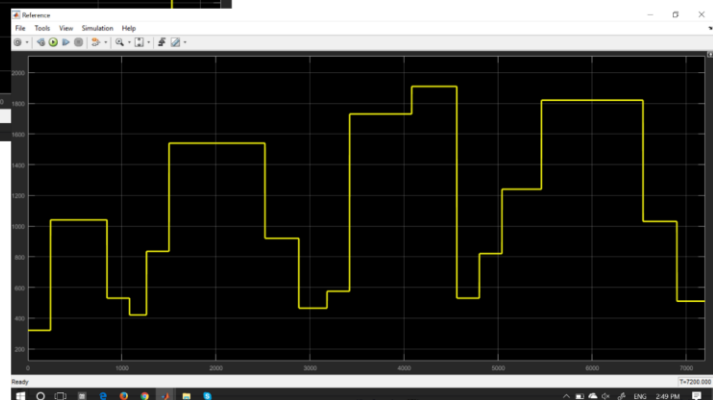
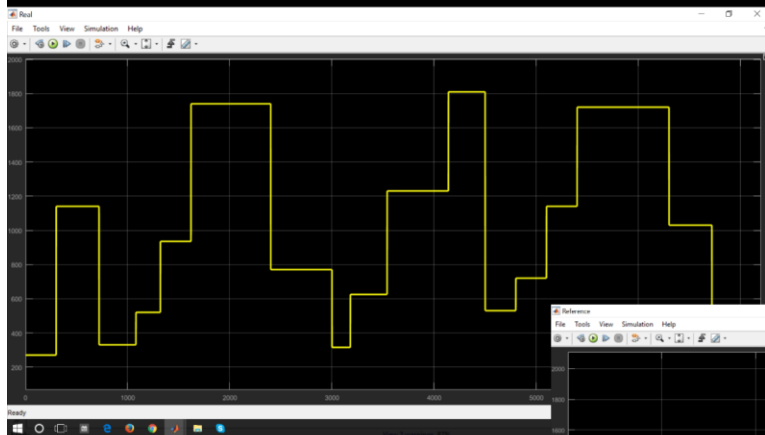
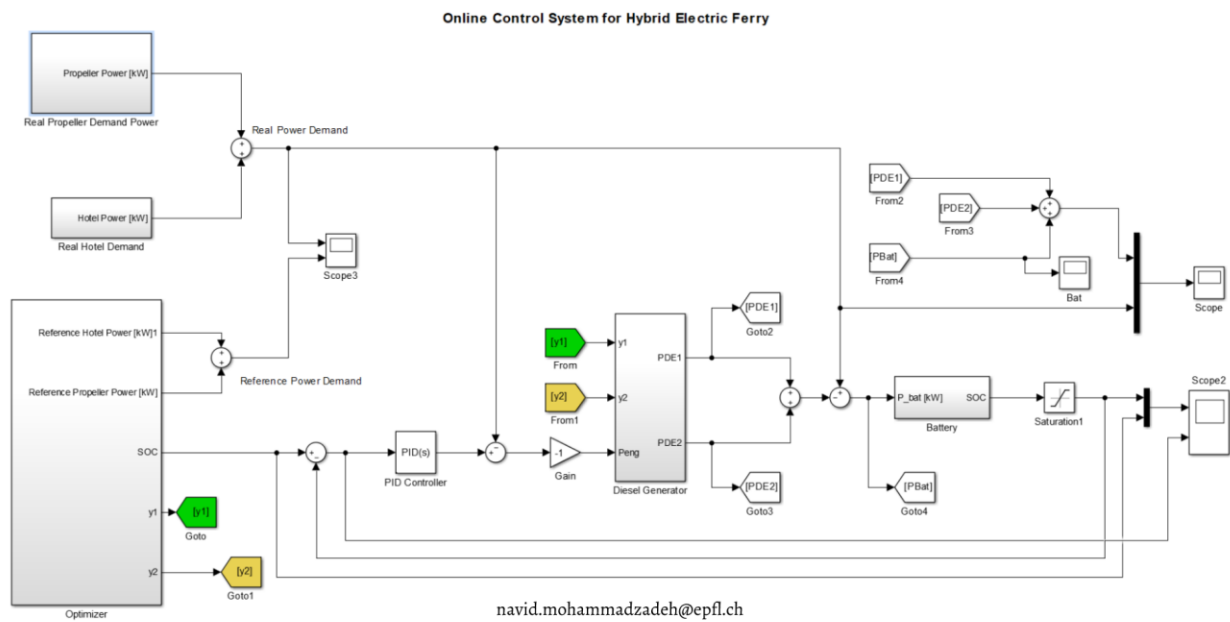
PID Online controller of my project is not finished ...

BUT

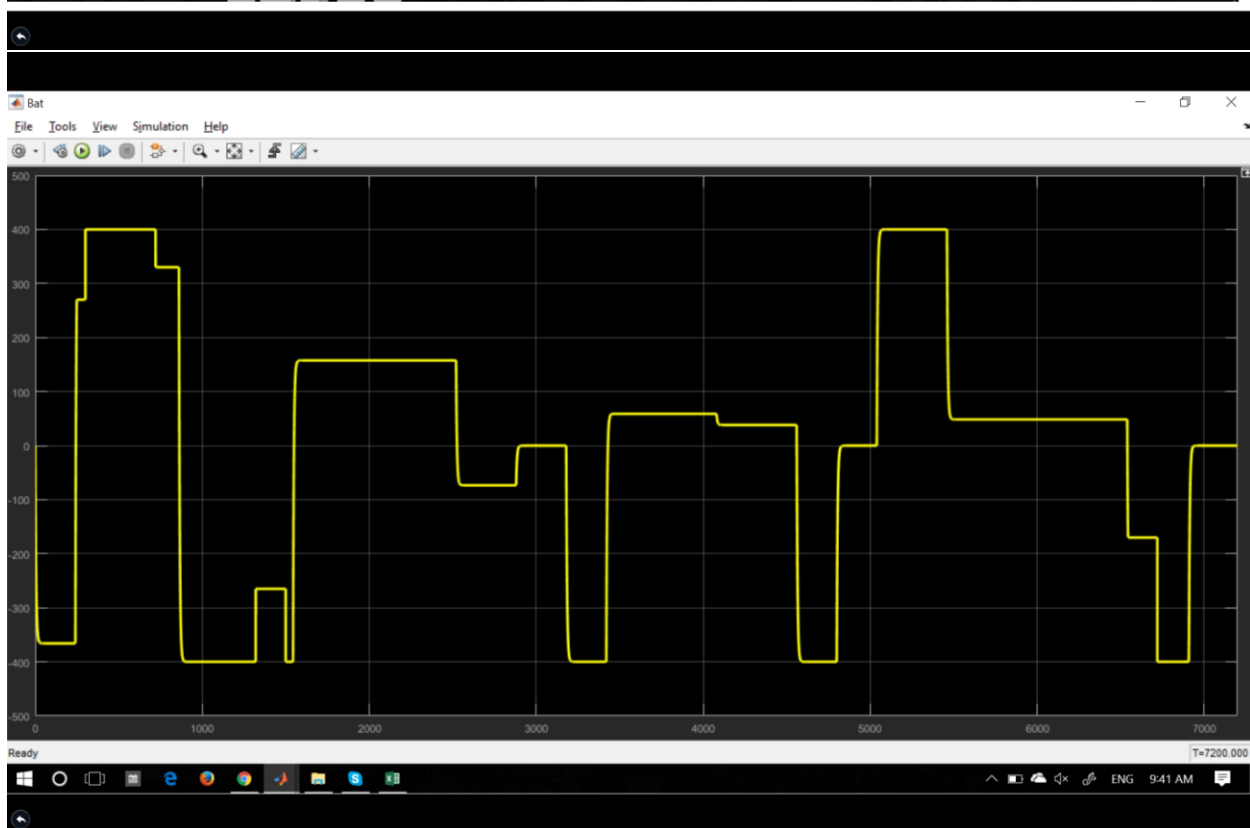
Up to now, It shows me the robustness but I will investigate the optimality.  
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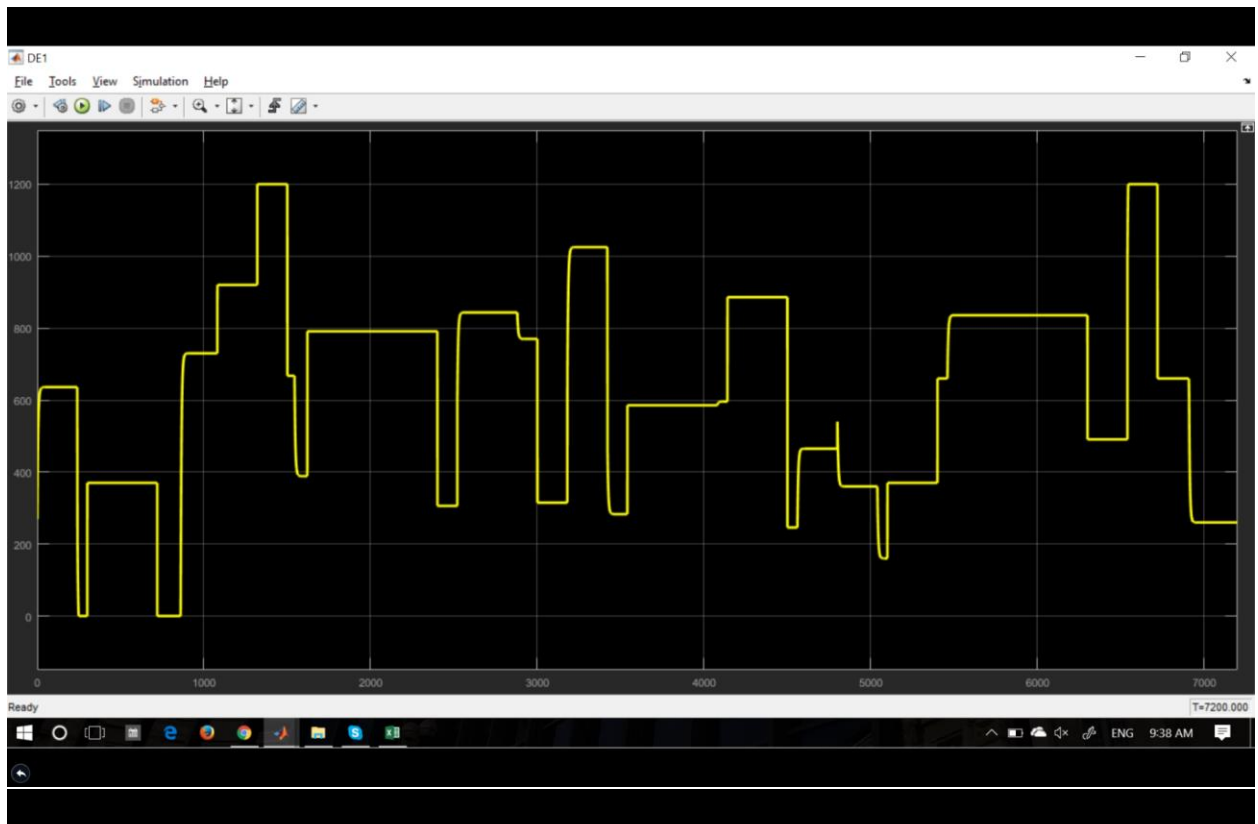
If your have suggestion, do not hesitate telling me to improve my master project. :)

## Online Control Methodology: (Proportional-Integral-Derivative Control)

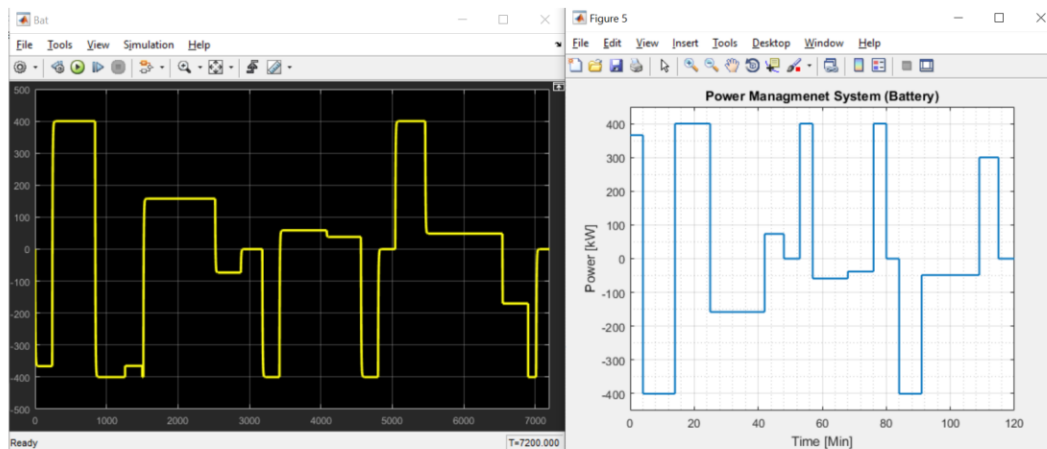


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## Current challenge and possible comment on...



Because of outputs comes from EMS is switching conditions of Engine instead of loads...  
Let me know your idea about, Please!

## What do we have to next...?

- Application of Model Predictive Control

Why?

If we can use the simpler controller, why CoMpLeX?!

- Application of Machine Learning in the Cycle Identification ... (Learning in the case of changing the roat.)

- Consider the lifetime Assement of the battery

- Considering Free onshore Charging (with wireless charging with Inductive Power Transer (IPT))



R<sup>6</sup>
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## Hybrid propulsion system simulation and control

Navid Mohammadzadeh · Francesco Baldi

**Goal:** Hybrid Marine Vehicles can reduce Nox and CO2 Emission due to the dramatic reduction of fuel consumption on the electrochemical power supplies like diesel engines. Hybrid in our project corresponds to the power supply system including diesel engines and energy storage...

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|                 |    |
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| Updates         | NA |
| Recommendations | NA |
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**Goal:** [Edit](#)

Hybrid Marine Vehicles can reduce Nox and CO2 Emission due to the dramatic reduction of fuel consumption on the electrochemical power supplies like diesel engines. Hybrid in our project corresponds to the power supply system including diesel engines and energy storage system which can be charge inshore with different charging methodology, for example, wireless charging.

**References:** [Add references](#)

## Appreciate your consideration

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