Parametrized Homology & Parametrized Alexander Duality

Sara Kališnik Verovšel

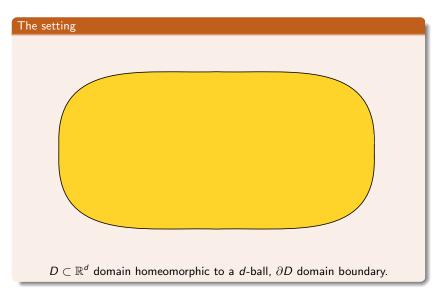
# Parametrized Homology & Parametrized Alexander Duality Theorem

Sara Kališnik Verovšek

Young Topologists Meeting, EPF Lausanne

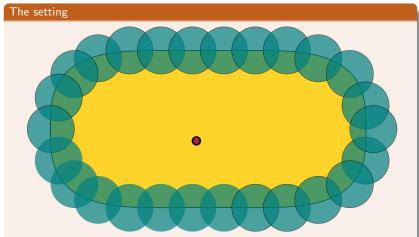
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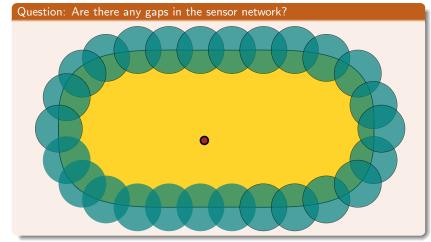
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Let K be the region covered by sensors, U the uncovered region. We suppose throughout that the boundary is covered.

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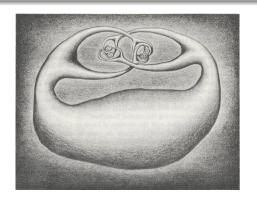
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## Alexander Duality

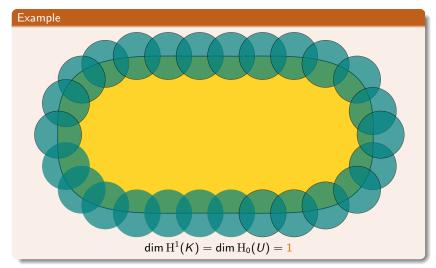
Let X be a compact, locally contractible subspace of  $\mathbb{R}^d$ . Then

$$\widetilde{\mathrm{H}}_{d-j-1}(\mathbb{R}^d-X)\cong \mathrm{H}^j(X).$$



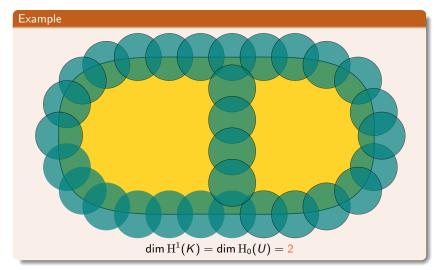
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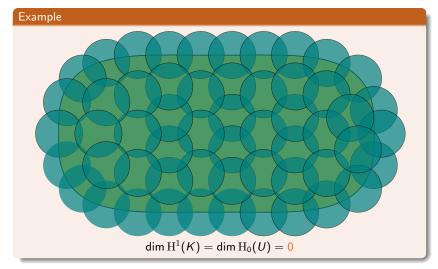
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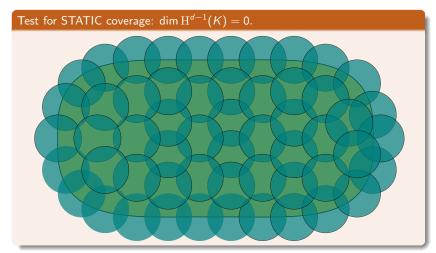
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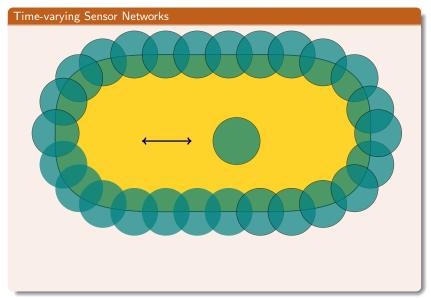
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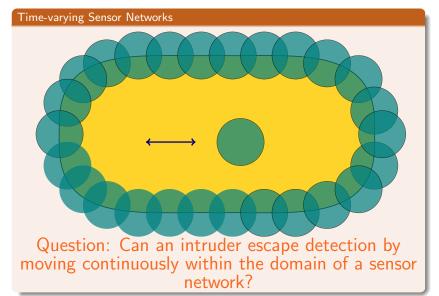
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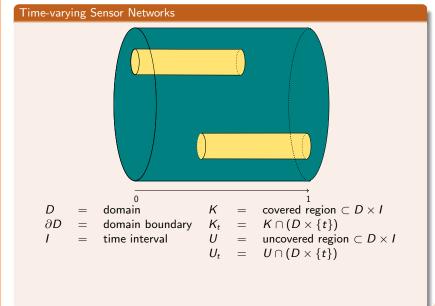
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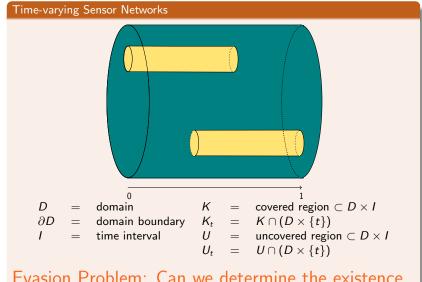
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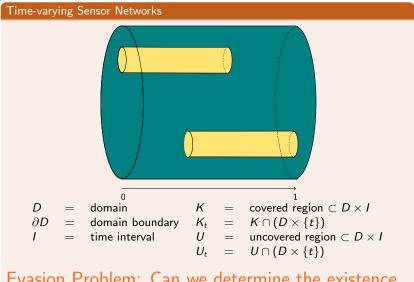
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Evasion Problem: Can we determine the existence of an evasion path?

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Evasion Problem: Can we determine the existence of an evasion path?  $(p: I \rightarrow U \text{ such that proj } \circ p = Id_I)$ 

#### Test for coverage in a dynamic sensor network

Vin De Silva and Robert Ghrist give a partial answer to the evasion problem:

If  $c \in H_d(K, \partial D \times I)$  exists such that  $0 \neq \partial c \in H_{d-1}(\partial D \times I)$ , then no evasion path exists.

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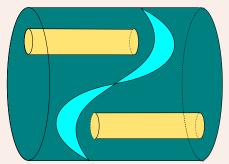
We think of *c* as a 'sheet' separating the uncovered areas.

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Sara Kališnil Verovše Test for coverage in a dynamic sensor network

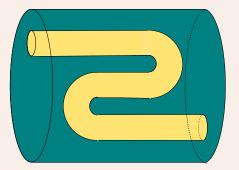
This criterion is not sharp.

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# Test for coverage in a dynamic sensor network

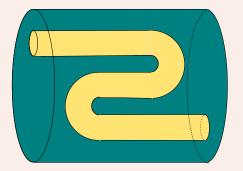
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#### Test for coverage in a dynamic sensor network

This criterion is not sharp.



Henry Adams et. al began studying the evasion problem with the goal of finding an if-and-only-if criterion for the existence of an evasion path using zigzag persistence.

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# Parametrized Space

A parametrized space is a pair  $\mathbb{X}=(X,p)$  where X is a topological space and  $p\colon X\to\mathbb{R}$  is a continuous function. We can view

$$(X_a^a = p^{-1}(a) \mid a \in \mathbb{R})$$

as a 1-parameter family of topological spaces (the topology on the total space X gives it the structure of a 'family').

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#### We call

- preimages of points, denoted by  $\mathbb{X}_a^a$ , levelsets of  $\mathbb{X}$ ;
- preimages of intervals [a, b], denoted by  $\mathbb{X}_a^b$ , slices of  $\mathbb{X}$ .

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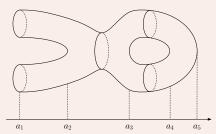
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#### 'Levelset zigzag persistence'

appeared in Zigzag Persistent Homology and Real-valued Functions (G. Carlsson, V. de Silva, D. Morozov).

#### (X, p) is of Morse-type if..

- the homology of levelsets changes only at finitely many critical values  $a_i$ ,  $i=1,\ldots,n$ ;
- homology groups of slices and levelsets are finite dimensional
- Let  $I \in \{(-\infty, a_1), (a_1, a_2), \dots, (a_{n-1}, a_n), (a_n, \infty)\}$ . Then  $p^{-1}(I)$  is homeomorphic to products of the form  $Y \times I$ , and each homeomorphism  $Y \times I \to p^{-1}(I)$  extends to a continuous function  $Y \times \overline{I} \to p^{-1}(\overline{I})$ , where  $\overline{I}$  is the closure of  $I \subset \mathbb{R}$ .



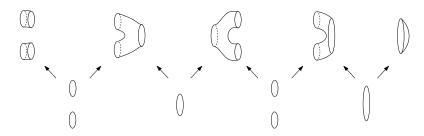
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Sara Kališnik Verovšel Let (X, p) be of Morse-type.

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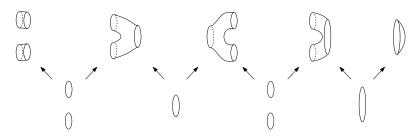
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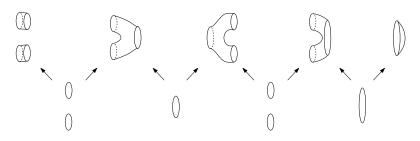


Apply homology functor. The resulting quiver representation is decomposable by Gabriel's theorem.

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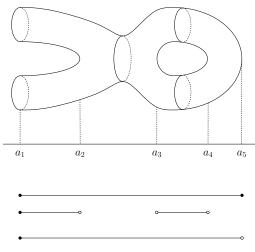
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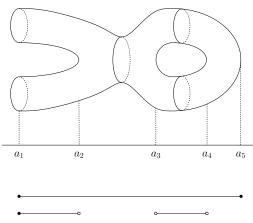
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The collection of intervals over all dimensions is called Levelset Zigzag Persistence.



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Can we define 'parametrized homology' for a larger class of parametrized spaces?

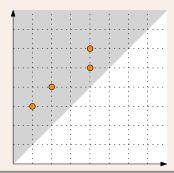
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#### Persistence

Persistence is commonly described in two formats:

- The barcode is a collection of intervals;
- The (undecorated) persistence diagram is a multiset of points lying above the main diagonal in  $\mathbb{R}^2$ .





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Sara Kališnik Verovšek The second description does not distinguish between [p,q], [p,q), (p,q] and (p,q).

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#### **Decorated Diagrams**

Frederic Chazal et al. introduced decorated real numbers. We translate between decorated points and intervals as follows:

$$(p^-,q^-)$$
 is written  $[p,q)$  and drawn  $(p^-,q^+)$  is written  $[p,q]$  and drawn  $(p^+,q^-)$  is written  $(p,q)$  and drawn  $(p^+,q^+)$  is written  $(p,q)$  and drawn  $(p^+,q^+)$  is written  $(p,q)$  and drawn  $(p,q)$ 

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A multiset of decorated points lying above the diagonal is called a decorated persistence diagram.

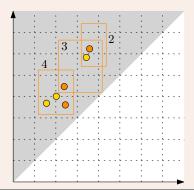
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#### r-measures

The intuition is that if we know how many points of the diagram are contained in each rectangle in the half plane, then we know the diagram itself.



#### r-measures

Let  $\mathcal{H} = \{(p,q) \in \mathbb{R}^2 \mid p < q\}$ . The set of rectangles in  $\mathcal{H}$  is

$$Rect(\mathcal{H}) = \{ [a, b] \times [c, d] \subset \mathcal{H} \mid a < b < c < d \}.$$

A rectangle measure or r-measure on  ${\mathcal H}$  is a function

$$\mu \colon \text{Rect}(\mathcal{H}) \to \{0, 1, 2, 3, \ldots\} \cup \{\infty\}$$

which is additive, meaning that  $\mu(R) = \mu(R_1) + \mu(R_2)$  whenever

$$R = R_1 R_2 \text{ or } R = R_1$$

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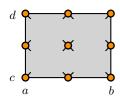
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To describe the correspondence between r-measures and decorated persistence diagrams, we need to relate decorated points to rectangles.

Sara Kališnik Verovšel Let  $R = [a,b] \times [c,d] \in \operatorname{Rect}(\mathcal{H})$  and let  $(p^*,q^*)$  be a decorated point. Then  $(p^*,q^*) \in R \quad \Leftrightarrow \quad [b,c] \subset (p^*,q^*) \subset (a,d)$   $\Leftrightarrow \quad (p,q)$  and its decoration tick are contained in R.



#### The Equivalence Theorem

There is a bijective correspondence between:

- Finite r-measures  $\mu$  on  $\mathcal{H}$ . Here 'finite' means that  $\mu(R) < \infty$  for every  $R \in \operatorname{Rect}(\mathcal{H})$ ;
- Locally finite multisets A in  $\mathcal{H}$ . Here 'locally finite' means that  $\operatorname{card}(A|_R) < \infty$  for every  $R \in \operatorname{Rect}(\mathcal{H})$ .

The measure  $\mu$  corresponding to a multiset  $\emph{A}$  satisfies the formula

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We now have three ways to describe a persistence diagram:

- barcode of finite real intervals:
- diagram of decorated points;
- rectangle measure.

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Measure theory approach is more flexible and works under a larger range of circumstances.

We combine this approach with levelset zigzag persistence to define parametrized homology. (joint work with Carlsson, de Silva, Morozov)

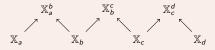
#### Four Measures

Given a rectangle  $R = [a, b] \times [c, d] \in \text{Rect}(\mathcal{H})$ , the aim is to count the homological features of  $\mathbb X$  that persist over the closed interval [b, c], but not over the open interval (a, d).

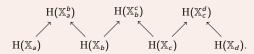
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Consider the following diagram of spaces and inclusion maps.



Apply the j-dimensional homology functor H to obtain:



We denote this quiver by  $HX_{\{a,b,c,d\}}$ . It is decomposable by Gabriel's theorem.

#### Four measures

There are four types of indecomposable summands, which meet b and c, but not a and d. By counting each of these summands, we get four quantities:

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Here  $\langle o \hspace{-0.8em} \hspace{-08$ 

If these four quantities are finite r-measures, by the equivalence theorem each determines a decorated persistence diagram. Let  $\mathsf{Dgm}^*(\mathsf{H}\mathbb{X})$  be the diagram determined by  $\mu_{\mathbb{X}}^*$ .

We say that  $\mathbb{X}=(X,f)$  has a well-defined parametrized (co)homology when the four quantities defined above are finite r-measures. This happens for  $\mathbb{X}$ , where:

- (i) X is a locally compact polyhedron, f is proper, and H is Steenrod–Sitnikov homology.
- (ii) X is a smooth manifold and f is a proper Morse function.
- (iii) X is a locally compact polyhedron and f is a proper piecewise-linear map.

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#### Four measures

These four diagrams demonstrate how homological features perish - whether cycles are killed in homology by higher dimensional chains or whether they cease to exist:

	(p,q)	[p,q)	(p,q]	[p,q]
^				
\\				
//				
V	·	•	0	•—•

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## Parametrized homology

The parametrized homology of  $\mathbb X$  is the collection of  $Dgm^{\setminus}(H\mathbb X)$ ,  $Dgm^{\wedge}(H\mathbb X)$ ,  $Dgm^{\wedge}(H\mathbb X)$ .

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## Parametrized homology

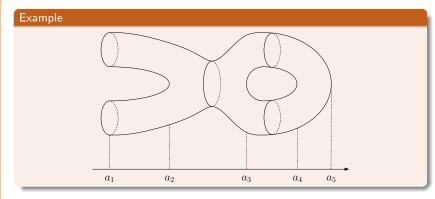
The parametrized homology of  $\mathbb{X}$  is the collection of  $Dgm^{\setminus}(H\mathbb{X})$ ,  $Dgm^{\vee}(H\mathbb{X})$ ,  $Dgm^{\wedge}(H\mathbb{X})$ .

## Other (co)homology theories

(co)homology theory	Diagrams	parametrized version
reduced singular homology	$Dgm^{\setminus\setminus}(\widetilde{\mathbb{H}}\mathbb{X}), \; Dgm^{\vee}(\widetilde{\mathbb{H}}\mathbb{X}), \; Dgm^{\wedge}(\widetilde{\mathbb{H}}\mathbb{X}), \; Dgm^{\cap}(\widetilde{\mathbb{H}}\mathbb{X})$	parametrized reduced homology
singular cohomology	$Dgm^{II}(H\mathbb{X}),  Dgm^{II}(H\mathbb{X}), \\ Dgm^{II}(H\mathbb{X}),  Dgm^{III}(H\mathbb{X})$	parametrized cohomology
Čech cohomology	Dgm <sup>\\</sup> (并ێ), Dgm <sup>\'</sup> (并ێ), Dgm <sup>^</sup> (并ێ), Dgm <sup>//</sup> (并ێ)	parametrized Čech cohomology

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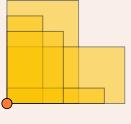


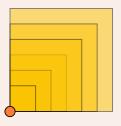
#### Multiplicities

min

To formally determine the diagram, we must compute the multiplicities. Given a measure  $\mu$ , the multiplicity of  $(p^*, q^*)$  is

$$\mathrm{m}_{\mu}(p^*,q^*) = \min\{\mu(R) \mid (p^*,q^*) \in R, R \in \mathrm{Rect}(\mathcal{H})\}$$





Alternatively. Let  $R_1 \supset R_2 \supset R_3 \supset \dots$  be a sequence of closed rectangles which contain  $(p^*, q^*)$  and  $\bigcap_n R_n = (p, q)$ . Then

$$\mathrm{m}_{\mu}(p^*,q^*)=\lim_{n\to\infty}\mu(R_n).$$

lim

## Example

Let us calculate the multiplicities of  $(a_1^-, a_2^+)$ . Pick  $\epsilon$  small enough that  $a_1 - \epsilon < a_1 < a_2 < a_2 + \epsilon$ . We have

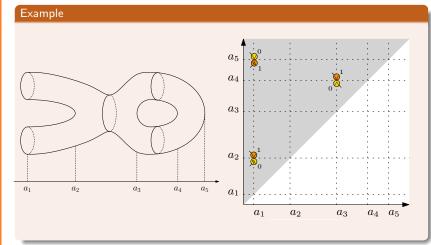
$$H_1\mathbb{X}_{a_1-\epsilon,a_1,a_2,a_2+\epsilon}\cong \bullet \bullet \bullet \oplus \bullet \bullet \oplus \bullet$$

The summand on the right is not registered by any of the measures, whereas the one on the left is detected by  ${}_1\mu_{\mathbb{X}}^{\vee}$ . Since these values are the same for all  $\epsilon$ , we have

$$\begin{array}{lcl} m_{1\mu_{\mathbb{X}}^{\backslash\backslash}}(a_{1}^{-},a_{2}^{+}) & = & \lim_{\epsilon \to 0} {}_{1}\mu_{\mathbb{X}}^{\backslash\backslash}\left([a_{1}-\epsilon,a_{1}]\times[a_{2},a_{2}+\epsilon]\right) & = & 0 \\ m_{1\mu_{\mathbb{X}}^{\backslash\backslash}}(a_{1}^{-},a_{2}^{+}) & = & \lim_{\epsilon \to 0} {}_{1}\mu_{\mathbb{X}}^{\backslash\backslash}\left([a_{1}-\epsilon,a_{1}]\times[a_{2},a_{2}+\epsilon]\right) & = & 1 \\ m_{1\mu_{\mathbb{X}}^{\backslash\backslash}}(a_{1}^{-},a_{2}^{+}) & = & \lim_{\epsilon \to 0} {}_{1}\mu_{\mathbb{X}}^{\backslash\prime}\left([a_{1}-\epsilon,a_{1}]\times[a_{2},a_{2}+\epsilon]\right) & = & 0 \\ m_{1\mu_{\mathbb{X}}^{\prime\prime/}}(a_{1}^{-},a_{2}^{+}) & = & \lim_{\epsilon \to 0} {}_{1}\mu_{\mathbb{X}}^{\prime\prime}\left([a_{1}-\epsilon,a_{1}]\times[a_{2},a_{2}+\epsilon]\right) & = & 0 \end{array}$$

Parametrized Homology & Parametrized Alexander Duality

Sara Kališnil Verovše



### Alexander Duality for Parametrized Homology

Let  $X \subset \mathbb{R}^n \times \mathbb{R}$  with  $n \geq 2$ , let  $Y = (\mathbb{R}^n \times \mathbb{R}) \setminus X$ , and let p be the projection onto the second factor. We assume that the levelsets  $\mathbb{X}_a$  for  $a \in \mathbb{R}$ , and slices  $\mathbb{X}_a^b$  for a < b are compact and locally contractible. If  $\mathbb{X} = (X, p|_X)$  has a well-defined parametrized cohomology, then the pair  $\mathbb{Y} = (Y, p|_Y)$  has a well-defined reduced parametrized homology. Additionally, for all  $j = 0, \ldots, n-1$ :

$$\begin{array}{lcl} \operatorname{\mathsf{Dgm}}^{\backslash\!\backslash}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\prime\prime}(\mathrm{H}^{j}\mathbb{X}), \\ \operatorname{\mathsf{Dgm}}^{\vee}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\wedge}(\mathrm{H}^{j}\mathbb{X}), \\ \operatorname{\mathsf{Dgm}}^{\wedge}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\backslash\!\backslash}(\mathrm{H}^{j}\mathbb{X}), \\ \operatorname{\mathsf{Dgm}}^{\prime\prime}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\backslash\!\backslash}(\mathrm{H}^{j}\mathbb{X}). \end{array}$$

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#### Killing / ceasing to exist duality

From the proof we can deduce the following duality: if a j-dimensional cohomology cycle in  $\mathbb X$  is killed (ceases to exist) at endpoint p, then there is a corresponding (n-j-1)-dimensional homology cycle in  $\mathbb Y$ , which ceases to exist (is killed) beyond that same endpoint.

#### Situations for which the theorem applies

The conditions of the theorem are satisfied for  $(X, p|_X)$ , where:

- X is a compact manifold and  $p|_X$  is Morse;
- X is a finite simplicial complex and  $p|_X$  is a piecewise-linear map.

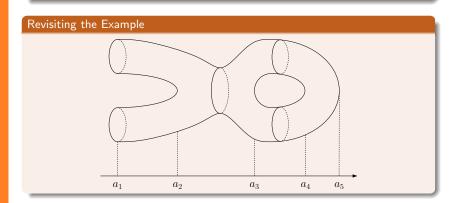
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&
Parametrize
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Duality

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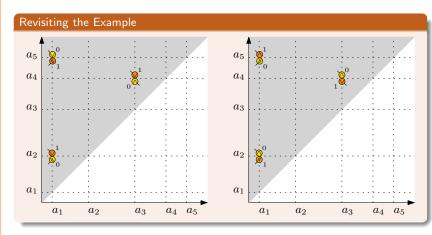
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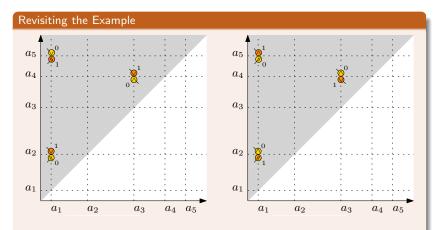
Parametrized Homology & Parametrized Alexander Duality

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The parametrized cohomology of  $\mathbb X$  is on the left and the one of  $\mathbb Y$  on the right.

Parametrized Homology & Parametrized Alexander Duality

Sara Kališnik Verovše For general spaces it is necessary to use Čech cohomology on the left side of Alexander duality isomorphism.

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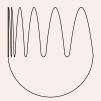
The standard theorem excludes cases like the Warsaw circle



Parametrized Homology & Parametrized Alexander Duality

Sara Kališnil Verovše For general spaces it is necessary to use Čech cohomology on the left side of Alexander duality isomorphism.

The standard theorem excludes cases like the Warsaw circle



We can extend Alexander duality to the setting of parametrized Čech cohomology.

### Alexander Duality for Parametrized Homology (Čech cohomology version)

Let  $X \subset \mathbb{R}^n \times \mathbb{R}$  with  $n \geq 2$ , let  $Y = (\mathbb{R}^n \times \mathbb{R}) \setminus X$ , and let p be the projection onto the second factor. We assume that the levelsets  $\mathbb{X}_a$  for  $a \in \mathbb{R}$ , and slices  $\mathbb{X}_a^b$  for a < b are compact. If  $\mathbb{X} = (X, p|_X)$  has a well-defined parametrized cohomology, then the pair  $\mathbb{Y} = (Y, p|_Y)$  has a well-defined reduced parametrized homology. Additionally, for all  $j = 0, \ldots, n-1$ :

$$\begin{array}{lll} \operatorname{\mathsf{Dgm}}^{\mathbb{N}}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\mathbb{M}}(\check{\mathrm{H}}^{j}\mathbb{X}), \\ \operatorname{\mathsf{Dgm}}^{\mathbb{N}}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\mathbb{N}}(\check{\mathrm{H}}^{j}\mathbb{X}), \\ \operatorname{\mathsf{Dgm}}^{\mathbb{N}}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\mathbb{N}}(\check{\mathrm{H}}^{j}\mathbb{X}), \\ \operatorname{\mathsf{Dgm}}^{\mathbb{M}}(\widetilde{\mathrm{H}}_{n-j-1}\mathbb{Y}) & = & \operatorname{\mathsf{Dgm}}^{\mathbb{N}}(\check{\mathrm{H}}^{j}\mathbb{X}). \end{array}$$

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This version of the theorem holds for parametrized spaces  $\mathbb{X}=(X,p|_X)$  where X is a compact polyhedron and  $p|_X$  is a continuous map.

## Motivation: Sensor Networks

Parametrized Homology & Parametrized Alexander Duality

Sara Kališnik Verovšek Test for coverage in a dynamic sensor network (Henry Adams)

'Claim': An evasion path exists in a sensor network if and only if there is a full-length interval [1,2m-1] in the (d-1)-dimensional barcode for K.

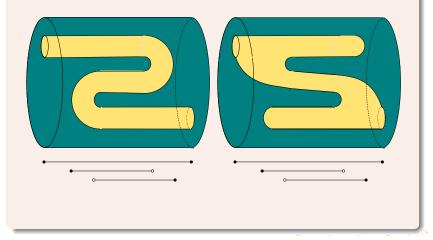
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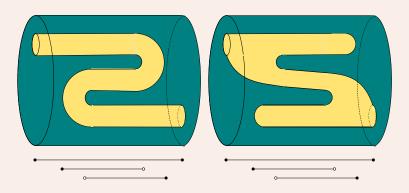
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Claim: If an evasion path exists in a sensor network, then a full-length interval [1, 2m-1] appears in the (d-1)-dimensional barcode for K.

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