

# Integer Optimization

## Problem Set 9

Presentations: May 15

- i) Let  $B \in \mathbb{R}^{n \times n}$  be a non-singular lattice. The *orthogonality defect* of  $B$  is  $\gamma = \prod_{i=1}^n \|b_i\| / |\det(B)|$ , where  $b_i$  is the  $i$ -th column of  $B$ .

Show that the orthogonality defect of an LLL-reduced lattice basis  $B$  is bounded by  $2^{n^2/2}$ .

- ii) Show how to retrieve a shortest nonzero vector of a lattice  $\Lambda(B) \subseteq \mathbb{R}^n$  in time  $(2\gamma + 1)^n$ , where  $\gamma$  is the orthogonality defect of  $B$ . Conclude that the shortest vector problem for a lattice  $\Lambda$  spanned by  $B \in \mathbb{Z}^{n \times n}$  can be solved in time  $2^{O(n^3)}$  times a polynomial in the size of  $B$ .

- iii) Let  $\Lambda \subseteq \mathbb{R}^n$  be a lattice. The *Voronoi cell* of  $\Lambda$  is the set of points

$$\mathcal{V}(\Lambda) = \{x \in \mathbb{R}^n : \forall v \in \Lambda : \|x\| \leq \|x - v\|\}.$$

Show that  $\mathcal{V}(\Lambda)$  is a polytope.

- iv) Let  $\Lambda \subseteq \mathbb{R}^n$  be a lattice. Show that the number of lattice points of euclidean norm bounded by  $R$  is at most

$$\left( \frac{R + \rho(\Lambda)}{\rho(\Lambda)} \right)^n.$$

- v) Show that  $\mathcal{V}(\Lambda)$  can be described by  $2^{O(n)}$  inequalities.

*Hint: Consider parities (mod 2).*

- vi) Use Minkowski's theorem to show the following result of Dirichlet:

Let  $Q \geq 1$  be a real number and let  $\alpha_1, \dots, \alpha_n \in \mathbb{R}$ . There exists an integer  $q$  and integers  $p_1, \dots, p_n$  with

- a)  $1 \leq q \leq Q^n$  and  
 b)  $|q \cdot \alpha_i - p_i| \leq 1/Q$  for  $i = 1, \dots, n$ .