

Plan for today

- ▶ Proof of Schwartz-Zippel Lemma
- ▶ Polynomial multiplication
- ▶ The FFT ~~Fast Fourier Transform~~

Polynomials

Let R be a ring. A *polynomial* over R is an expression of the form

$$f(x) = a_0 + a_1 x + \cdots + a_n x^n, \quad a_n \neq 0.$$

- ▶ a_i are in R are called coefficients
- ▶ x is the indeterminate
- ▶ n is the degree.

Multivariate polynomials

- ▶ *Monomial:* $x^\alpha = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$, $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n$
- ▶ *Polynomial:* $f(x) = \sum_{\alpha \in \mathbb{N}_0^n} a_\alpha x^\alpha$ only finitely many $\alpha \neq 0$ $\in \mathbb{R}[x_1, \dots, x_n]$
- ▶ *Total degree:* $D(f) = \max_{\alpha \in \mathbb{N}_0^n} \|\alpha\|_1$

$$\begin{aligned} p(x_1, x_2, x_3) &= 3x_1 x_2^2 x_3 \\ &\quad + 4 x_2 x_3^6 + 2x_1 x_2 \end{aligned}$$

$$D(p) = 7$$

Motivation

We were interested in $\det(T_x) = 0$

$$T_x(i,j) = \begin{cases} x_{ij} & \text{if } i,j \in E, i \neq j \\ -x_{ji} & " \quad \quad \quad i > j \\ 0 & \text{otherwise.} \end{cases}$$

$\det(T_x) \in \mathbb{Z}[x_e : e \in E]$

$G = (V, E)$ We don't want to write down $p(x) = \det(T_x)$

explicitly.

Question: Is there a way to determine $p(x) = 0$ by performing evaluations? Answer: YES.

Can do: Efficiently evaluate i.e. compute $p(x^*)$ for some

$$x^* \in \mathbb{Z}^{|E|}$$

Schwartz-Zippel Theorem

Theorem (Schwartz-Zippel Theorem)

Let K be a field and $Q(x_1, \dots, x_n) \in K[x_1, \dots, x_n]$ be a multivariate polynomial of total degree d . Fix a finite set $S \subseteq K$, and let r_1, \dots, r_n be chosen independently and uniformly at random from S . Then

$$P[Q(r_1, \dots, r_n) = 0 \mid Q(x_1, \dots, x_n) \not\equiv 0] \leq d/|S|.$$

Why useful?: $p(x)$ has total degree $\leq |V|$

$$S = \{1, 2, 3, 4, 5, 6, \dots, 2|V|\}$$

on inkje matrix
met smalle banen.

$\forall v \in V$: pick $v \in S$ at random. compute $p(v) = \det(\tilde{T}_v)$

$$P[P(v)=0 \mid P(x) \neq 0] \leq \frac{1}{2} \cdot \underset{\text{Repetit. times}}{P_s} (x \text{ en } v \text{ vol. } = 0 \mid P(x) \neq 0) \leq \left(\frac{1}{2}\right)^i$$

Schwartz-Zippel Theorem

$$Q(x_1, x_2) = 2x_1^2 x_2 + 3x_2^3 + 2x_1 x_2$$

$$f_2(x_2) = 2 \cdot x_2$$

Theorem (Schwartz-Zippel Theorem)

Let K be a field and $Q(x_1, \dots, x_n) \in K[x_1, \dots, x_n]$ be a multivariate polynomial of total degree d . Fix a finite set $S \subseteq K$, and let r_1, \dots, r_n be chosen independently and uniformly at random from S . Then

$$|S| \leq d$$

$$P[Q(r_1, \dots, r_n) = 0 \mid Q(x_1, \dots, x_n) \neq 0] \leq \boxed{d/|S|}$$

Proof: Induction on n . $n=1$. If $P(x_1) \neq 0$ then # roots of p is $\leq d$

$$\text{choose } r_1 \in S \text{ at random. } \Pr(P(r_1) = 0 \mid P \neq 0) \leq \frac{d}{|S|}$$

$$\underline{n > 1}: \text{Write } Q(x_1, \dots, x_n) = \sum_{i=0}^k x_1^i \cdot f_i(x_2, \dots, x_n) \quad \text{if } 0, \dots, f_k \neq 0$$

$$\text{choose } r_1, \dots, r_n \text{ indep. and uniform at random. I.H. } \Pr(f_k(r_2, \dots, r_n) = 0) \leq \boxed{\frac{d-k}{|S|}}$$

$$\Pr(Q(r_1, \dots, r_n) = 0 \mid f_k(r_2, \dots, r_n) \neq 0) \leq \boxed{\frac{k}{|S|}} \quad D(f_k) = d-k \quad \Pr(A) \leq \Pr(A \mid \bar{B}) + \Pr(B)$$

B event: $f_k(r_2, \dots, r_n) = 0$

Polynomial multiplication

$f, g \in F[x]$, F is a field.

- ▶ $f(x) = a_0 + a_1x + \cdots + a_nx^n, g(x) = b_0 + b_1x + \cdots + b_nx^n \quad \deg(f, g) \leq n$
- ▶ $(f \cdot g)(x) = \sum_{i=0}^{2n} \left(\sum_{k+\ell=i} a_k b_\ell \right) x^i$
- ▶ Number of field-operations: multiplications $\Theta(n^2)$ Additions $\Theta(n^2)$

Another representation:

- ▶ f is represented uniquely by $(x_0, f(x_0)), \dots, (x_n, f(x_n))$

$$(2+4x+7x^2) (1+2x+x^2)$$

$$= 2 \cdot 1 + (2 \cdot 2 + 4 \cdot 1)x + (2 \cdot 1 + 2 \cdot 4 + 7 \cdot 1) \cdot x^2 + (4 \cdot 1 + 7 \cdot 2)x^3 + (7 \cdot 1)x^4$$

$n+n-1+\dots = \Theta(n^2)$



$n \times n$ pairs.
 $(n-1) \approx n-1$ pairs

n result
 $n-1$ min.

Fast polynomial multiplication: The idea

2 ways to represent a polynomial:

1.) $f(x) = a_0 + a_1 x + \dots + a_n x^n \Leftrightarrow [a_0, a_1, \dots, a_n]$

2.) $f(x)$ is uniquely represented by: $(x_0, f(x_0)), \dots, (x_n, f(x_n))$ x_i distinct.

1.) \rightarrow 2.) evaluation: $O(n^2)$ running time.

$$\begin{pmatrix} f(x_0) \\ \vdots \\ f(x_n) \end{pmatrix} = \begin{pmatrix} 1 & x_0 & x_0^2 & \dots & x_0^n \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & x_n^2 & \dots & x_n^n \end{pmatrix} \cdot \begin{pmatrix} a_0 \\ \vdots \\ a_n \end{pmatrix}$$

A invertible.

2)-71) Interpolation

~~A^{-1}~~ $\cdot A^{-1} \cdot f = a$.

Fast polynomial multiplication: The idea

Suppose now: $\deg(f), \deg(g) \leq n$.

f, g are represented by $(x_0, f(x_0)), \dots, (x_{2n}, f(x_{2n})) \approx f$
 $(x_0, g(x_0)), \dots, (x_{2n}, g(x_{2n})) \approx g$.

How fast can I multiply now? $\Theta(n)$ mult. Since

$f \cdot g \approx (x_0, f(x_0) \cdot g(x_0)), \dots, (x_{2n}, f(x_{2n}) \cdot g(x_{2n}))$

Discrete and fast Fourier transform

- ▶ In the following R denotes a commutative ring with 1.
- ▶ Recall that an element $0 \neq r \in R$ is a zero divisor if there exists ~~another~~^{an} element $0 \neq s \in R$ such that $r \cdot s = 0$

$$\mathbb{Z}_3 \quad 3 \neq 0$$

$$3 \cdot 3 = 0$$

$$\mathbb{Z}_{12} \quad 4 \neq 0, \quad 3 \neq 0$$

$$\underline{4 \cdot 3 = 0}$$

n -th root of unity

$$\text{for } x_0, x_1, x_2, \dots, x_n \\ \text{---} \\ 1 \quad \underbrace{\omega^1}_{\omega}, \underbrace{\omega^2}_{\omega^2}, \dots, \underbrace{\omega^n}_{\omega^n}$$

Definition

Let $\underline{n \in \mathbb{N}_{\geq 1}}$ and $\underline{\omega \in R}$.

- i) ω is an n -th root of unity if $\omega^n = 1$.
- ii) ω is a primitive n -th root of unity if $\omega^n = 1$, n is a unit in R , $\omega^{n/t} - 1$ is not a zero divisor for, for any prime $t \in \mathbb{P}$ dividing n and $\omega^k \neq 1$ for all $k = 1, \dots, n-1$.

$\underbrace{1 + \omega + \dots + \omega^{n-1}}_{n \text{ terms}}$ is a unit in R

t could also be n

Example: $R = \mathbb{C}$

$$e^{\frac{2\pi i}{n}}$$

is primitive n -th root of unity.

$$\underbrace{\omega, \omega^2, \dots, \omega^{n-1}}_{\neq 1} \quad \underbrace{\omega^n}_{= 1}$$

Example

1. $\omega = e^{2\pi i/8} \in \mathbb{C}$ is a primitive 8-th root of unity.
2. \mathbb{Z}_8 does not have a primitive square root of unity. (Why?) *because 2 is not in \mathbb{Z}_8^**

The matrix V_ω

$$f(x) = \varrho_0 + \varrho_1 x + \cdots + \varrho_{n-1} x^{n-1}$$

Let ω be a primitive n -th root of unity. The matrix V_ω is defined as

$$V_\omega = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \omega & \omega^2 & \cdots & \omega^{n-1} \\ 1 & \omega^2 & \omega^4 & \cdots & \omega^{2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{n-1} & \omega^{2(n-1)} & \cdots & \omega^{(n-1)^2} \end{pmatrix} \cdot \begin{bmatrix} \varrho_0 \\ \vdots \\ \varrho_{n-1} \end{bmatrix} \quad (1)$$

$$= \begin{bmatrix} f(1) \\ f(\omega) \\ f(\omega^2) \\ \vdots \\ f(\omega^{n-1}) \end{bmatrix}$$

The discrete Fourier transform

Definition

Let ω be a primitive n -th root of unity. The mapping

$$\begin{aligned}\mathbf{DFT}_\omega : \quad & R^n \longrightarrow R^n \\ a \quad \longmapsto \quad & V_\omega a^,\end{aligned}$$

which evaluates a polynomial $f = a_0 + \cdots + a_{n-1}x^{n-1}$ of degree at most $n - 1$ at the powers of ω is called the *Discrete Fourier Transform*.

Algorithm

$$f(x) = f^e(x^2) + x \cdot f^o(x^2)$$

$$f^e(x) = 1 + 3x + 4x^2$$

$$f^o(x) = 2 + 2x$$

$$f(x) = 1 + 2x + 3x^2 + 2x^3 + 4x^4$$

Input: $f(x) = [a_0, \dots, a_{n-1}]$ and primitive n -th root of unity ω where n is a power of 2

Output: $\text{DFT}_\omega(a) = [y_0, \dots, y_{n-1}]$

Split f into even and odd part: $f(x) = f_e(x^2) + x \cdot f_o(x^2)$

$$y^e = \text{DFT}_{\omega^2}(f_e), y^o = \text{DFT}_{\omega^2}(f_o)$$

$$h = 1$$

for $k = 0$ to $n/2 - 1$ do

$$y_k = y_k^e + h \cdot y_k^o$$

$$y_{k+n/2} = y_{k+n/2}^e - h \cdot y_{k+n/2}^o$$

$$h = h \cdot \omega$$

return $[y_0, \dots, y_{n-1}]$

$$\begin{aligned} f^o((\omega^s)^2) &= f^o(\omega^{s \cdot 2}) = f^o(\omega^2) \\ &= f^o(\omega) - \omega \cdot f^o(1) \end{aligned}$$

$$\square + \omega \cdot \square$$

Suppose: ω is 8-th root of unity.

compute $f(\omega^0), f(\omega^1), \dots, f(\omega^7)$.

ω^k	f	$f^e(x^2)$	$f^o(x^2)$
ω^0	\rightarrow	$f^e(\omega^0)$	$f^o(\omega^0)$
ω^1	\rightarrow	$f^e(\omega^2)$	$f^o(\omega^2)$
ω^2	\rightarrow	$f^e(\omega^4)$	$f^o(\omega^4)$
ω^3	\rightarrow	$f^e(\omega^6)$	$f^o(\omega^6)$
ω^4	\rightarrow	$f^e(\omega^0)$	$f^o(\omega^0)$
ω^5	\rightarrow	$f^e(\omega^2)$	$f^o(\omega^2)$
ω^6	\rightarrow	$f^e(\omega^4)$	$f^o(\omega^4)$
ω^7	\rightarrow	$f^e(\omega^6)$	$f^o(\omega^6)$

Running time in terms of ring-operations

$$T(n) = 2 \cdot T(n/2) + O(n)$$

$$= O(n \cdot \log(n))$$

DFT runs in time $O(n \cdot \log n)$.

$$\text{DFT}_\omega : \begin{bmatrix} a_0 \\ \vdots \\ a_{n-1} \end{bmatrix} \rightarrow \underbrace{\begin{bmatrix} 1 & 1 & \cdots & 1 \\ 1 & \omega & \omega^2 & \cdots & \omega^{n-1} \\ 1 & \omega^2 & \omega^4 & \cdots & \omega^{2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{n-1} & \cdots & \omega^{(n-1)^2} \end{bmatrix}}_{A_\omega} \cdot \begin{bmatrix} a_0 \\ \vdots \\ a_{n-1} \end{bmatrix}$$

$a_0 + a_1 \omega + \cdots + a_{n-1} \omega^{n-1}$

$\downarrow \quad \hat{F}(\text{DFT}_\omega)^{-1}$

$(x_0, p(x_0)) \cdots (x_{n-1}, p(x_{n-1}))$

$(\text{DFT}_\omega)^{-1}$

Goal: Show that

$$(\text{DFT}_\omega)^{-1} = \frac{1}{n} \cdot (\text{DFT}_{\omega^{-1}})$$

$$A_\omega \cdot A_\omega^{-1} = \underbrace{\begin{bmatrix} 1 & 1 & \cdots & 1 \\ 1 & \omega & \cdots & \omega^{n-1} \\ 1 & \omega^2 & \cdots & \omega^{2(n-1)} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{n-1} & \cdots & \omega^{(n-1)^2} \end{bmatrix}}_{\in \mathbb{C}^{n \times n}} \cdot \underbrace{\begin{bmatrix} 1 & \cdots & 1 \\ 1 & \omega^{-1} & \cdots & \omega^{-(n-1)} \\ 1 & \omega^{-(2-1)} & \cdots & \omega^{-(n-1)^2} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{-(n-1)} & \cdots & \omega^{-(n-1)^2} \end{bmatrix}}_{\in \mathbb{C}^{n \times n}}$$

i-th row, i-th column:

$$\sum_{j=0}^{n-1} (\omega^i)^j \cdot (\omega^{-i})^j = n \quad \leftarrow \text{should be a unit we want } \omega^i \text{ to be } \odot$$

i-th row x j-th column:

. . . i+j

$$\sum_{k=0}^{n-1} (\omega^i)^k \cdot (\omega^{-j})^k = \sum_{k=0}^{n-1} (\omega^{i-j})^k = \begin{cases} n & \text{if } i=j \\ 0 & \text{otherwise} \end{cases}$$

IF R is a field:

$$(\omega^{i-j}-1) \cdot \sum_{k=0}^{n-1} (\omega^{i-j})^k = (\omega^{i-j})^n - 1 = 0$$

Preparations for the inverse of the DFT

Lemma

Exercise: extend this to $-n < \ell < -1$.

Let $\ell, n \in \mathbb{N}_{\geq 1}$ such that $1 < \ell < n$ and let $\omega \in R$ be a primitive n -th root of unity, then

1. $\omega^\ell - 1$ is not a zero divisor of R ,
2. $\sum_{0 \leq j < n} \omega^{\ell j} = 0$.

Assuming 1.) let us show 2.) ;

$$\left(\underbrace{\sum_{0 \leq j < n} \omega^{\ell \cdot j}}_{\Rightarrow = 0 \text{ since } \omega \text{ is not a zero divisor.}} \right) (\underbrace{\omega^{\ell - 1}}_{= \omega^{e \cdot n - 1}}) = \omega^{e \cdot n - 1} \\ = (\omega^n)^e - 1 \\ = 0$$

is not a zero divisor.

Preparations for the inverse of the DFT

Lemma

Let $\ell, n \in \mathbb{N}_{\geq 1}$ such that $1 \leq \ell < n$ and let $\omega \in R$ be a primitive n -th root of unity, then

1. $\omega^\ell - 1$ is not a zero divisor of R ,

2. $\sum_{0 \leq j < n} \omega^{\ell j} = 0$.

Proof of 1.) First case: $\ell \mid n$. Then there exist $p \in \mathbb{P}$ such that

$(\ell \cdot x = \frac{n}{p}) \quad \ell \mid \frac{n}{p}$. From Def. we have that $(\omega^{\frac{n}{p}-1})$ is not a zero divisor. $(\omega^{\frac{n}{p}-1}) = (\omega^{\ell-1}) \sum_{j=0}^{x-1} \omega^{j \cdot \ell}$ if $(\omega^{\ell-1})$ was a

zero divisor, then $(\omega^{\frac{n}{p}-1})$ was a zero divisor as well.

Second case: $\ell \nmid n$. $\exists d \in \mathbb{Z}$ s.t. $d = \gcd(\ell, n)$. $d \mid n$, thus (ω^{d-1}) is not a zero divisor. $d = x \cdot \ell + y \cdot n$. $(\omega^{d-1}) = (\omega^{x\ell-1}) = (\omega^{\ell-1}) \sum_{j=0}^{x-1} \omega^{j \cdot \ell}$

Preparations for the inverse of the DFT

Lemma

Let $\omega \in R$ be a primitive n -th root of unity, $k \in \mathbb{Z}$ and $d = n / \gcd(n, k)$. Then ω^k is a primitive d -th root of unity.

Actually: we only need to show this:

$\omega \in R$ be a primitive $2n$ -th root of unity, then ω^2 is a primitive n -th root of unity. (Need for FFT Algo.)

Since $2n$ is a unit in R , also n is a unit in R . The ord of ω^2 is n .
And $\forall t \in \mathbb{N}$ s.t. $t \mid n : \omega^{nt+1} \neq 1$ not a zero divisor, follows from
previous lemma.



The matrix $V_{\omega^{-1}}$

Lemma

Let $\omega \in R$ be a primitive n -th root of unity. Then

$$V_{\omega} V_{\omega^{-1}} = n \cdot I,$$

where I is the $n \times n$ identity matrix.

prof. See previous slides.

Theorem

Let $\omega \in R$ be a primitive n -th root of unity. For each $y = (y_0, \dots, y_{n-1})^T$, there exists exactly one polynomial $f = a_0 + \dots + a_{n-1}x^{n-1}$ with $f(\omega^i) = y_i$, for $i = 0, \dots, n-1$. This polynomial is given by

$$a = \underbrace{\frac{1}{n}}_{\text{CR}} V_{\omega^{-1}} y.$$

Remark

Notice that $1/n \in R$. We insured this in our Definition 29. In fact this is the only place where the requirement $n \in R^*$ matters.

Exercise: Let $p(x) = a_0 + \dots + a_n x^{\frac{n-1}{2}}$ $\in \mathbb{Z}[x]$ with $M \geq \|a\|_\infty, \|b\|_\infty$

$$q(x) = b_0 + \dots + b_m x^{\frac{m-1}{2}}$$

How large should N be (in terms of n, M) to identify $p \cdot q$ from $p \cdot q \bmod \mathbb{Z}_N$? $N = O(M^2 \cdot n)$

Modular DFT

In the following we consider the Ring \mathbb{Z}_M for $M = 2^L + 1$.

$$L \in \mathbb{N}_+$$

Lemma

Addition and subtraction in \mathbb{Z}_M can be done with $O(L)$ bit-operations.

Lemma

Let $K = \underline{2^k}$ divide L , then

$$\omega = 2^{L/K} \in \mathbb{Z}_M$$

$$\begin{array}{c} L = 2^l \\ | \\ K = 2^k \end{array} \quad 1 \leq k < l$$

is a primitive $2K$ -th root of unity.

$$\omega^{2K} = 2^{2 \cdot L} = 2^L \cdot 2^L = (-1) \cdot (-1) = 1 \quad , \quad 2K \text{ is power of } 2.$$

Also: $\omega^j \neq 1$ for $j = 1, \dots, 2K-1$

(ω^{K-1}) is not a zero divisor. (ω^{K-1}) is even a unit. $(\omega^{K-1})^{(2^L-1)} = (\omega^{2K-2})^{(2^L-1)} = -2$
 $\gcd(2, M) = 1$

Exercise

Let $a \in \mathbb{Z}_M$, where $M = 2^L + 1$ and let j , $1 \leq j \leq L$ be a natural number. Show that the product $a \cdot 2^j$ can be computed with $O(L)$ bit-operations. *Hint: This is not just shifting to the left but a little bit more*

Running time

in Algorithm $n=2K$

Theorem

$$L = 2^{\ell}$$

Let $M = 2^L + 1$ and $K = 2^k$ divide L and let $\omega = 2^{L/K}$. The mappings \mathbf{DFT}_ω and $(\mathbf{DFT}_\omega)^{-1}$ can be computed with $O(K L \log K)$ bit-operations.

$$\begin{aligned} T(K, L) &= 2 \cdot T\left(\frac{K}{2}, L\right) + O(K \cdot L) \\ &= \underline{O(K \cdot L \cdot \log K)} \end{aligned}$$

Running time

Corollary

Let $f(x)$ and $g(x)$ be two polynomials in \mathbb{Z}_M , $M = 2^L + 1$, of degree at most $2K - 1$, where $K = 2^k$ divides L . Then their product $(f \cdot g)(x)$ can be computed with $O(\underline{K L \log K} + \underline{K M(L)})$ bit-operations, where $M(s)$ is the bit-complexity of s -bit integer multiplication.

(in bit-operations)

Exercise: How fast can you multiply two polynomials

fig in terms of their degree and M_{bit} -norm?

Exercise

You are to multiply two n -degree polynomials $f(x)$ and $g(x)$ in $\mathbb{Z}[x]$. For this you want to use the modular DFT approach. Thus you want to translate the problem into a suitable problem of polynomial multiplication in $\mathbb{Z}_M[x]$ using the following scheme. The polynomials f and g are mapped into $\mathbb{Z}_M[x]$ via the canonical homomorphism. In there they are multiplied using the modular FFT. From this product, the original product $f \cdot g \in \mathbb{Z}[x]$ is to be reconstructed.

1. Let a be an upper bound on the absolute values of the coefficients of f and g . Determine an $M \in \mathbb{N}_+$ such that the reconstruction of the product $f \cdot g \in \mathbb{Z}_M[x]$ is unique. Derive a lower bound on M . (These bounds should not be far apart! I don't want anybody to write 1 and ∞ here!)
2. Derive an upper bound on the bit-complexity of this modular approach in terms of n and $\text{size}(a)$. (Give your best for that too!)

Exercise

Consider the polynomials $f(x) = 5x^3 + 3x^2 - 4x + 3$ and $g(x) = 2x^3 - 5x^2 + 7x - 2$ in \mathbb{Z}_{17} .

1. Compute $f \cdot g$ the naive way.
2. Show that $\omega = 2$ is a primitive 8th root of unity and compute the inverse $\omega^{-1} = 2^{-1}$.
3. Compute the matrices V_ω and $V_{\omega^{-1}}$ and their product.
4. Trace Algorithm ?? on input ω, f and ω, g and compute with the result
 $(y_0, \dots, y_7) = \mathbf{DFT}_\omega(f) \cdot \mathbf{DFT}_\omega(g)$.
5. Trace Algorithm ?? on input ω^{-1}, y and compare your result with 1.

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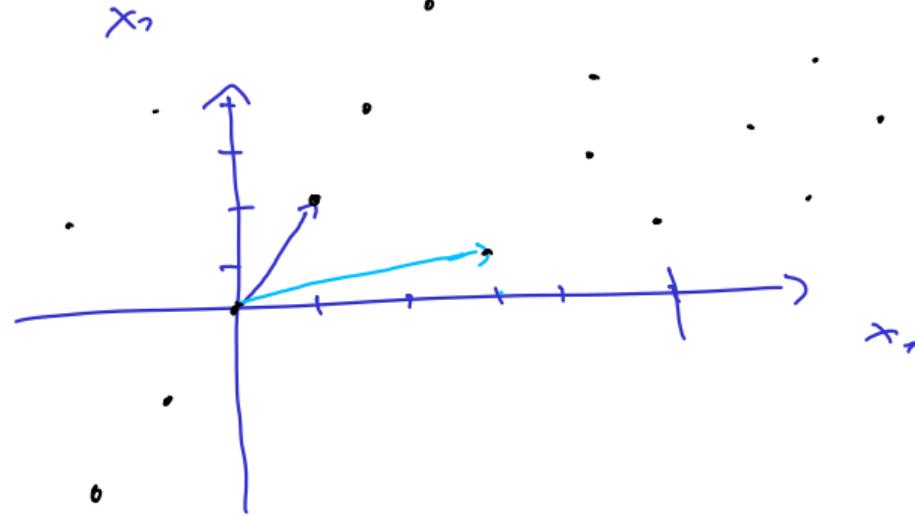
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Lattices & Geometry of Numbers and Algorithmic Consequences.

Def: A lattice is a set of the form $\mathcal{L}(A) = \{A \cdot x : x \in \mathbb{Z}^n\}$

$A \in \mathbb{Z}^{d \times n}$ of full rank.

Example: $A = \begin{pmatrix} 1 & 3 \\ 2 & 1 \end{pmatrix}$



Algorithmic Problems: 1.) Given $A \in \mathbb{Z}^{d \times n}$, $v \in \mathbb{Z}^d$,
of full row-rank.

determine whether $v \in L(A)$ and if yes compute $x \in \mathbb{Z}^n$ s.t.

$$A \cdot x = v \quad (\text{Lattice Membership problem})$$

2.) Given $A \in \mathbb{Z}^{d \times n}$ of full row-rank, determine
a nonzero vector $v \in L(A)$ with $\|v\|$ minimal.

(Shortest (Lattice) Vector problem)

Membership Problem: Input $A \in \mathbb{C}^{d \times n}$ of full row-rank.

$v \in \mathbb{C}^d$. Q: $v \in \mathcal{L}(A)$.

Q: $\exists x \in \mathbb{C}^n : A \cdot x = v \text{ ??}$

$\exists x \in \mathbb{R}^n :$ Gaussian Algo

OBSERVATION: If $d = n$, then

$\exists x^* \in \mathbb{Q}^n$ s.t. (*) , so ~~then~~ $v \in \mathcal{L}(A) \Leftrightarrow x^* \in \mathbb{Z}^n$

Q: $\exists B \in \mathbb{C}^{d \times d}$ s.t. $\mathcal{L}(A) = \mathcal{L}(B)$?
if YES, how to compute it?

Def: $B \in \mathbb{Z}^{d \times d}$ with $\mathcal{N}(B) = \mathcal{N}(A)$ is called
a Basis of the lattice $\mathcal{N}(A)$.

Lemma: Let $U \in \mathbb{Z}^{n \times n}$ be a unimodular matrix, i.e.
 $\det(U) = \pm 1$. Then $\mathcal{N}(A) = \mathcal{N}(A \cdot U)$

Proof: Let $v \in \mathcal{N}(A)$. Then $\exists x \in \mathbb{Z}^n$ s.t. $v = A \cdot x$.

But $\exists y \in \mathbb{Z}^n$ s.t. $U \cdot y = x$, since $U^{-1} \in \mathbb{Z}^{n \times n}$.

$$\Rightarrow v = A \cdot U \cdot y \Rightarrow v \in \mathcal{N}(A \cdot U) \Rightarrow \mathcal{N}(A) \subseteq \mathcal{N}(A \cdot U)$$

Also, $\mathcal{N}(A \cdot U) \subseteq \mathcal{N}(A \cdot U \cdot U^{-1}) = \mathcal{N}(A)$ 

Elementary Uni-modular Transformations:

1.) Swap two columns of A :
 $\rightarrow A \cdot U$

$$\det(U) = -1$$

$$U = \begin{bmatrix} 1 & \dots & 0 & & & \\ & \ddots & 1 & & & \\ & & \ddots & \dots & & \\ & & & 0 & & \\ & & & & \ddots & 1 \\ & & & & & \ddots & \vdots \\ & & & & & & 0 \\ & & & & & & & \ddots & \vdots \\ & & & & & & & & 1 \end{bmatrix}$$

2.) Multiplying a column with (-1) : $A := A \cdot U \text{ and}$

$$U = \begin{pmatrix} 1 & \dots & & & \\ & \ddots & & & \\ & & 1 & -1 & \\ & & & \ddots & \dots & 1 \\ & & & & \ddots & \vdots \\ & & & & & 1 \end{pmatrix}$$

$$\det(U) = -1.$$

3.) Add an integer multiple of one column from
another column of A : $A := A \cdot U$.

$$U = \begin{pmatrix} 1 & \dots & 1 & \dots & 1 \\ & \ddots & & \ddots & \\ & & x & \dots & 1 \\ & & \vdots & & \vdots \end{pmatrix}$$

Fix column j from column i.

$$\det(U) = 1$$

Def: $A \in \mathbb{C}^{d \times n}$ of full row-rank is in
Hermite Normal Form (HNF) if it is of this form

$$A = d \begin{pmatrix} \underbrace{\left(\begin{array}{c|c} B & \\ \hline & 0 \end{array} \right)}_{d} \end{pmatrix}$$

- Each entry of B is ≥ 0
- The unique max of every row is on the diagonal.

Example:

$$\left(\begin{array}{ccc|ccc} 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 2 & 0 & 0 & 0 & 0 \\ 1 & 0 & 3 & 0 & 0 & 0 \end{array} \right)$$

Thm: Let $A \in \mathbb{Z}^{d \times n}$ of full row-rank.

$\exists U \in \mathbb{Z}^{n \times n}$ w.t. $\det(U) = \pm 1$ s.t.

$A \cdot U$ is in HNF.